Maintenance Report

Netapp Inc.

Data ONTAP V 7.3.2

Issued by:

Communications Security Establishment Canada

Certification Body

Canadian Common Criteria Evaluation and Certification Scheme

1 Introduction

Netapp Inc. has submitted (via DOMUS IT Security Laboratory) the Impact Analysis Report (IAR) for NetApp Inc. Data ONTAP Version 7.3.2 (hereafter referred to as the Data ONTAP), satisfying the requirements outlined in version 1.0 of the Common Criteria document CCIMB-2004-02-009: <u>Assurance Continuity: CCRA Requirements</u>. In accordance with those requirements, the IAR describes the changes made to Data ONTAP (the maintained Target of Evaluation), the evidence updated as a result of the changes and the security impact of the changes.

2 Description of changes to the TOE

The following characterizes the changes implemented in the Data ONTAP. For each change, it was verified that there were no required changes to the security functional requirements in the ST, and thorough functional and regression testing was conducted by the developer to ensure that the assurance in the Target of Evaluation (TOE) was maintained. The changes in the Data ONTAP comprise bug fixes resulting from defects detected and resolved through the QA/test process.

3 Description of Changes to the IT Environment

Changes to the IT Environment are permissible under assurance continuity provided that they do not change the certified TOE. A modified ST was provided which listed the updated software. Netapp Inc. subjected the TOE to complete regression testing. The changes to the IT Environment (product) include additional features consisting of functions that are outside the scope of the evaluation, as well as support for a new platform, the FAS 2040.

4 Affected developer evidence

Modifications to the product necessitated changes to a subset of the developer evidence that was previously submitted for the TOE. The set of affected developer evidence was identified in the IAR.

5 Conclusions

All changes to the TOE were bug fixes. Through functional and regression testing of the Data ONTAP, assurance gained in the original TOE certification was maintained. As all of the changes to the TOE have been classified as minor, it is the conclusion of the CB that the maintained TOE is appropriate for assurance continuity and re-evaluation is not required.

6 References

Assurance Continuity: CCRA Requirements, CCIMB-2004-02-009, version 1.0, February 2004

Technical Oversight for Assurance Continuity of a Certified TOE, version 1.2, October 2005

Certification Report EAL 3+ Evaluation of Data ONTAP Version 7.3.1.1, 5 November 2009