

## Certification Report

### Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100

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## Foreword

The Netherlands Scheme for Certification in the Area of IT Security (NSCIB) provides a third-party evaluation and certification service for determining the trustworthiness of Information Technology (IT) security products. Under this NSCIB, TÜV Rheinland Nederland B.V. has the task of issuing certificates for IT security products, as well as for protection profiles and sites.

Part of the procedure is the technical examination (evaluation) of the product, protection profile or site according to the Common Criteria assessment guidelines published by the NSCIB. Evaluations are performed by an IT Security Evaluation Facility (ITSEF) under the oversight of the NSCIB Certification Body, which is operated by TÜV Rheinland Nederland B.V. in cooperation with the Ministry of the Interior and Kingdom Relations.

An ITSEF in the Netherlands is a commercial facility that has been licensed by TÜV Rheinland Nederland B.V. to perform Common Criteria evaluations; a significant requirement for such a licence is accreditation to the requirements of ISO Standard 17025 “General requirements for the accreditation of calibration and testing laboratories”.

By awarding a Common Criteria certificate, TÜV Rheinland Nederland B.V. asserts that the product or site complies with the security requirements specified in the associated (site) security target, or that the protection profile (PP) complies with the requirements for PP evaluation specified in the Common Criteria for Information Security Evaluation. A (site) security target is a requirements specification document that defines the scope of the evaluation activities.

The consumer should review the (site) security target or protection profile, in addition to this certification report, to gain an understanding of any assumptions made during the evaluation, the IT product's intended environment, its security requirements, and the level of confidence (i.e., the evaluation assurance level) that the product or site satisfies the security requirements stated in the (site) security target.

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## Recognition of the Certificate

The presence of the Common Criteria Recognition Arrangement (CCRA) and the SOG-IS logos on the certificate indicates that this certificate is issued in accordance with the provisions of the CCRA and the SOG-IS Mutual Recognition Agreement (SOG-IS MRA) and will be recognised by the participating nations.

### International recognition

The CCRA was signed by the Netherlands in May 2000 and provides mutual recognition of certificates based on the Common Criteria (CC). Since September 2014 the CCRA has been updated to provide mutual recognition of certificates based on cPPs (exact use) or STs with evaluation assurance components up to and including EAL2+ALC\_FLR.

For details of the current list of signatory nations and approved certification schemes, see <http://www.commoncriteriaportal.org>.

### European recognition

The SOG-IS MRA Version 3, effective since April 2010, provides mutual recognition in Europe of Common Criteria and ITSEC certificates at a basic evaluation level for all products. A higher recognition level for evaluation levels beyond EAL4 (respectively E3-basic) is provided for products related to specific technical domains. This agreement was signed initially by Finland, France, Germany, The Netherlands, Norway, Spain, Sweden and the United Kingdom. Italy joined the SOG-IS MRA in December 2010.

For details of the current list of signatory nations, approved certification schemes and the list of technical domains for which the higher recognition applies, see <https://www.sogis.eu>.

## 1 Executive Summary

This Certification Report states the outcome of the Common Criteria security evaluation of the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100. The developer of the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100 is Huawei Technologies Co., Ltd. located in Shenzhen, Peoples Republic of China and they also act as the sponsor of the evaluation and certification. A Certification Report is intended to assist prospective consumers when judging the suitability of the IT security properties of the product for their particular requirements.

The TOE is software running on the NetEngine AR6700 & AR8000 series routers. These routers consist of both hardware (non-TOE) and software. The software running on the routers is called Yunshan software developed by Huawei. Yunshan software provides extensive security features, including different interfaces with according access levels for administrators, enforcing authentications prior to establishment of administrative sessions, auditing of security-relevant management activities. The TOE software consists of TSF and non-TSF parts. These are shown in section 2.4.

The TOE has been evaluated by Riscure B.V. in Delft. The evaluation was completed on 26 April 2023 with the approval of the ETR. The certification procedure has been conducted in accordance with the provisions of the Netherlands Scheme for Certification in the Area of IT Security [NSCIB].

The scope of the evaluation is defined by the security target [ST], which identifies assumptions made during the evaluation, the intended environment for the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100, the security requirements, and the level of confidence (evaluation assurance level) at which the product is intended to satisfy the security requirements. Consumers of the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100 are advised to verify that their own environment is consistent with the security target, and to give due consideration to the comments, observations and recommendations in this certification report.

The results documented in the evaluation technical report [ETR]<sup>1</sup> for this product provide sufficient evidence that the TOE meets the EAL4 augmented (EAL4+) assurance requirements for the evaluated security functionality. This assurance level is augmented with ALC\_FLR.2 (Flaw Reporting Procedures).

The evaluation was conducted using the Common Methodology for Information Technology Security Evaluation, Version 3.1 Revision 5 [CEM] for conformance to the Common Criteria for Information Technology Security Evaluation, Version 3.1 Revision 5 [CC] (Parts I, II and III).

TÜV Rheinland Nederland B.V., as the NSCIB Certification Body, declares that the evaluation meets all the conditions for international recognition of Common Criteria Certificates and that the product will be listed on the NSCIB Certified Products list. Note that the certification results apply only to the specific version of the product as evaluated.

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<sup>1</sup> The Evaluation Technical Report contains information proprietary to the developer and/or the evaluator, and is not available for public review.

## 2 Certification Results

### 2.1 Identification of Target of Evaluation

The Target of Evaluation (TOE) for this evaluation is the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100 from Huawei Technologies Co., Ltd. located in Shenzhen, Peoples Republic of China.

The TOE is comprised of the following main components:

Delivery item type	Identifier	Version
Software	Huawei NetEngine AR6700&AR8000 Series Routers Software	V600R021C10SPC100

To ensure secure usage a set of guidance documents is provided, together with the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100. For details, see section 2.5 "Documentation" of this report.

### 2.2 Security Policy

To counter the security threats listed in the [ST], the TOE provides the following security features:

- Security Audit
- Cryptographic Support
- Identification and Authentication
- Secure Management
- Protection of the TSF
- TOE Access Through User Authentication
- Trusted Path and Channels for Device Authentication
- Trusted Software Updates

These features are explained in detail in Section 1.4.2 of the security target. In addition, the description references the main modules used to enforce security and to supply the server infrastructure. Modules here refer to software subsystems within the TOE. They are:

- AAA
- SSH
- Cryptographic
- Audit
- NTP
- Boot-Security
- Trusted-Update
- TLS

### 2.3 Assumptions and Clarification of Scope

#### 2.3.1 Assumptions

The assumptions defined in the Security Target are not covered by the TOE itself. These aspects lead to specific Security Objectives to be fulfilled by the TOE-Environment. For detailed information on the security objectives that must be fulfilled by the TOE environment, see section 4.2 of the [ST].

### 2.3.2 Clarification of scope

The evaluation did not reveal any threats to the TOE that are not countered by the evaluated security functions of the product.

## 2.4 Architectural Information

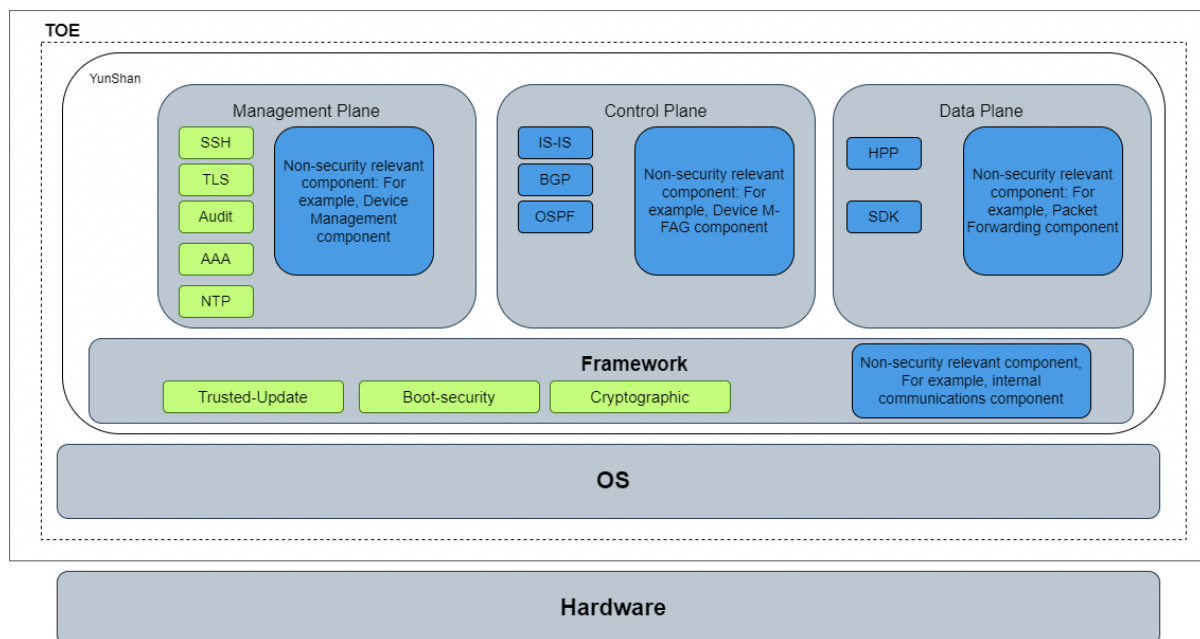
The TOE scope consists of the software running in the router device. The hardware is out of TOE scope.

The underlying OS on which the TOE software is supported consists in a Linux operating system. The OS provides basic services including memory management, scheduling management, file management, and device management.

The Yunshan software is a network operating platform, which has a distributed, multi-process, and component-based architecture. It builds upon the hardware development trend and will meet carriers' service requirements.

The Yunshan software is responsible for functional management, routing information generation, receiving generated routing information and formatting them into hardware-specific data to direct traffic forwarding.

The diagram below describes which modules of the Yunshan software are part of the TSF and which ones are not. Only the part of the TOE highlighted in green is included in the TSF.



Architecture and boundaries of the Target of Evaluation

The Yunshan software consists of Management Plane, Control Plane and Data Plane.

In TSF scope:

- Management Plane (MP), implements management for external access, management for system configuration, information output on Yunshan software, NTP.
- Framework, implements system internal scheduling, communication, management of signals, events, timers, etc. System security functions are also implemented at this plane.

In TOE scope:

- Control Plane (CP) controls and manages the operation of all network protocols. The control plane provides various network information and forwarding query entries required for data processing and forwarding on the Data Plane;

- Data Plane (DP), processes and forwards various types of data on different ports of the router;
- OS, provides hardware and software resource management.

Out of TOE scope:

- Hardware: Provides hardware resource management.

## 2.5 Documentation

The following documentation is provided with the product by the developer to the customer:

Identifier	Version
Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan software V600R021C10SPC100 Preparative Procedures	0.7
Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan software V600R021C10SPC100 Operational User Guidance	0.7
NetEngine AR V600R021C10 Upgrade Guide	01
Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100 Product Documentation	1.1
Signature verification Guide	05

## 2.6 IT Product Testing

Testing (depth, coverage, functional tests, independent testing): The evaluators examined the developer's testing activities documentation and verified that the developer has met their testing responsibilities.

### 2.6.1 Testing approach and depth

The evaluator repeated developer test cases which covered audit trail, authentication failure management, password Management, authentication aspects of SSH and administrator privileges

In addition, the evaluator devised additional independent evaluator tests to provide further assurance of the functional security. These focussed on command privileges and password controls.

### 2.6.2 Independent penetration testing

The TOE is a network server that performs routing. Therefore, the vulnerability analysis is conducted using the network attack methods, and is structured in the following phases:

- Information Gathering and Potential Vulnerability Identification: understand network structures, server properties using port scanning, detection of running services etc. and conducting public vulnerability searches to identify potential vulnerabilities
- Exploitation: get some first unprivileged access e.g. by manipulating file upload mechanisms, authentication bypass, password attacks etc.
- Privilege escalation: escalate to extended / root privileges to gather further information on operating system properties, application services, file-system structures to get deeper into the system and break security features

The vulnerability analysis took information from the design assessment of the TOE into account. The ADV/ATE documentation along with the source code review that was done as part of the combined AVA/ADV\_IMP assessment also provided insight into areas that could be potentially vulnerable. These were then testing in the penetration testing phase.

To rate the difficulties to exploit potential vulnerabilities the evaluation used the standard rating methodology from the Common Criteria Standard. The reason for this choice is that the standard rating focusses on the efforts of creating / identifying a potential exploit which is the most important factor as exploits for this type of product usually have to be deployed remotely and therefore scale



quite well. Thus, there is no reason for applying a specific rating scheme which for example explicitly splits identification and exploitation efforts.

The total test effort expended by the evaluators was 1.5 weeks. During that test campaign, 100% of the total time was spent on logical tests.

### 2.6.3 Test configuration

In this evaluation testing was performed on an earlier revision of the TOE. The assurance gained from testing on the earlier revision has been assessed to be valid for the final TOE version, as described in the [ST] because the changes introduced were minimal and did not have an impact on the TSF.

### 2.6.4 Test results

The testing activities, including configurations, procedures, test cases, expected results and observed results are summarised in the [ETR], with references to the documents containing the full details.

The developer's tests and the independent functional tests produced the expected results, giving assurance that the TOE behaves as specified in its [ST] and functional specification.

No exploitable vulnerabilities were found with the independent penetration tests.

## 2.7 Reused Evaluation Results

There has been extensive reuse of the ALC aspects for the sites involved in the development and production of the TOE, by use of 4 Site Technical Audit Reports.

One site has been visited as part of this evaluation.

## 2.8 Evaluated Configuration

The TOE is defined uniquely by its name and version number Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100.

The TOE can be identified by running the display version slot [slot-id] command through the console or SSH interface as described in the [AGD\_PRE].

## 2.9 Evaluation Results

The evaluation lab documented their evaluation results in the [ETR], which references an ASE Intermediate Report and other evaluator documents, and Site Technical Audit Report(s) for the site(s) [STAR]<sup>2</sup>.

The verdict of each claimed assurance requirement is **"Pass"**.

Based on the above evaluation results the evaluation lab concluded the Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10SPC100, to be **CC Part 2 extended, CC Part 3 conformant**, and to meet the requirements of **EAL 4 augmented with ALC\_FLR.2**. This implies that the product satisfies the security requirements specified in Security Target [ST].

## 2.10 Comments/Recommendations

The user guidance as outlined in section 2.5 "Documentation" contains necessary information about the usage of the TOE.

In addition, all aspects of assumptions, threats and policies as outlined in the Security Target not covered by the TOE itself must be fulfilled by the operational environment of the TOE.

The customer or user of the product shall consider the results of the certification within his system risk management process. For the evolution of attack methods and techniques to be covered, the

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<sup>2</sup> The Site Technical Audit Report contains information necessary to an evaluation lab and certification body for the reuse of the site audit report in a TOE evaluation.

customer should define the period of time until a re-assessment for the TOE is required and thus requested from the sponsor of the certificate.

The strength of the cryptographic algorithms and protocols was not rated in the course of this evaluation. This specifically applies to the following proprietary or non-standard algorithms, protocols and implementations: None.

### 3 Security Target

The Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10 Security Target, v1.1, 21 November 2022 [ST] is included here by reference.

### 4 Definitions

This list of acronyms and definitions contains elements that are not already defined by the CC or CEM:

AAA	Authentication, Authorization, Accounting
CC	Common Criteria
CCRA	Arrangement on the Recognition of Common Criteria Certificates in the field of IT Security CEM Common Methodology for Information Technology Security Evaluation
CEM	Common Methodology for Information Technology Security Evaluation
CP	Control Plane
DP	Data Plane
DP	Data Plane
EAL	Evaluation Assurance Level
ETR	Evaluation Technical Report
IT	Information Technology
ITSEF	IT Security Evaluation Facility
JIL	Joint Interpretation Library
MP	Management Plane
NSCIB	Netherlands Scheme for Certification in the area of IT security
NTP	Network Time Protocol
OS	Operating System
PP	Protection Profile
SSH	Secure Shell
TLS	Transport Layer Security
TOE	Target of Evaluation
TSF	TOE Security Functionality

## 5 Bibliography

This section lists all referenced documentation used as source material in the compilation of this report.

- |         |   |
|---------|---|
| [CC]    | Common Criteria for Information Technology Security Evaluation, Parts I, II and III, Version 3.1 Revision 5, April 2017   |
| [CEM]   | Common Methodology for Information Technology Security Evaluation, Version 3.1 Revision 5, April 2017                     |
| [ETR]   | Evaluation Technical Report for Huawei NetEngine AR6700&AR8000 Series Software, 20220120-D2, Version 1.2, 27 January 2023 |
| [NSCIB] | Netherlands Scheme for Certification in the Area of IT Security, Version 2.5, 28 March 2019                               |
| [ST]    | Huawei NetEngine AR6700&AR8000 Series Routers' Yunshan Software V600R021C10 Security Target, v1.1, 21 November 2022       |
| [STAR]  | Site Technical Audit Report for Huawei, Guiyang Data Center, p20220120-D1, Version 1.1, 12 December 2022                  |

(This is the end of this report.)