
**Hewlett Packard Enterprise
MSR1000 Series, MSR2000 Series, MSR3000
Series and MSR4000 Series Routers
Security Target**

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**Prepared for:
Hewlett Packard Enterprise**

11445 Compaq Center Drive West
Houston, Texas 77070



Leidos Inc (formerly Science Applications International Corporation)

Common Criteria Testing Laboratory
6841 Benjamin Franklin Drive, Columbia, Maryland 21046

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1. Security Target Introduction

This section identifies the Security Target (ST) and Target of Evaluation (TOE) identification, ST conventions, ST conformance claims, and the ST organization. The TOE is Hewlett Packard Enterprise MSR 1000, 2000, 3000, and 4000 Series Routers with Comware V7.1.059, Release 0305 provided by Hewlett Packard Enterprise. Each of the Series Router products is a stand-alone Gigabit Ethernet router appliance designed to implement a wide range of network layers 2 and 3 switching, service and routing operations.

The focus of the evaluation is on the TOE functionality supporting the claims in the Protection Profile for Network Devices (NDPP). The only capabilities covered by the evaluation are those specified in the aforementioned Protection Profile, all other capabilities are not covered in the evaluation. The security functionality specified in [NDPP], includes protection of communications with remote administrators and trusted IT entities, identification and authentication of administrators, auditing of security-relevant events, ability to verify the source and integrity of updates to the TOE, and specifies NIST-validated cryptographic mechanisms.

The Security Target contains the following additional sections:

- TOE Description (Section 2)
- Security Problem Definition (Section 3)
- Security Objectives (Section 4)
- IT Security Requirements (Section 5)
- TOE Summary Specification (Section 6)
- Protection Profile Claims (Section 7)
- Rationale (Section 8).

1.1 Security Target, TOE and CC Identification

ST Title – Hewlett Packard Enterprise MSR Routers 1k-4k Security Target

ST Version – Version 1.0

ST Date –February 16, 2016

TOE Identification – Hewlett Packard Enterprise MSR 1000, 2000, 3000, and 4000 Series Routers with Comware V7.1.059, Release 0305 with a High Encryption License¹.

On November 1, 2015, Hewlett-Packard became two separate companies: Hewlett Packard Enterprise and HP Inc. The network products are part of the new Hewlett Packard Enterprise. The former HP network switches and routers are undergoing product rebranding. The rebranding is not complete in the documentation and on the websites. The TOE maybe referred to with the suffix “HP”, “HP FlexFabric”, “HPE” or “HPE FlexFabric”. For the purpose of this evaluation, these name variations are used interchangeably and refer to the same product.

Product Series	Specific Devices
HP MSR1000	HP MSR1002-4 AC Router (JG875A) HP MSR1003-8S AC Router (JH060A)
HP MSR2000	HP MSR2003 AC Router (JG411A) HP MSR2004-24 AC Router (JG734A) HP MSR2004-48 Router (JG735A)

¹ HPE ships the MSR router series with a High Encryption License. The FIPS mode cannot be enabled if a High Encryption license has not been installed.

Product Series	Specific Devices
HP MSR3000	HP MSR3012 AC Router (JG409A) HP MSR3012 DC Router (JG410A) HP MSR3024 AC Router ((JG406A) HP MSR3024 DC Router (JG407A) HP MSR3024 PoE Router (JG408A) HP MSR3044 Router (JG405A) HP MSR3064 Router (JG404A)
HP MSR4000	HP MSR 4060 Router Chassis with HP MSR4000 MPU-100 Main Processing Unit (JG403A) HP MSR 4080 Router Chassis with HP MSR4000 MPU-100 Main Processing Unit (JG402A) Note: Each MSR4000 product series must also have one of the following Service Processing Units ² : <ul style="list-style-type: none"> • HP MSR4000 SPU-100 Service Processing Unit (JG413A); • HP MSR4000 SPU-200 Service Processing Unit (JG414A); or • HP MSR4000 SPU-300 Service Processing Unit (JG670A).

Table 1 TOE Series and Devices

TOE Developer – Hewlett Packard Enterprise

Evaluation Sponsor – Hewlett Packard Enterprise

CC Identification – *Common Criteria for Information Technology Security Evaluation, Version 3.1, Revision 3, July 2009*

1.2 Conformance Claims

This TOE is conformant to the following CC specifications:

- This ST is conformant to:
 - *Protection Profile for Network Devices*, Version 1.1, 8 June 2012 (NDPP) as amended by Errata #3 dated 3 November 2013, and including the following optional SFRs: FCS_IPSEC_EXT.1; FCS_SSH_EXT.1; and FIA_PSK_EXT.1. The following NIAP Technical Decisions apply to this PP and have been accounted for in the ST development and the conduct of the evaluation:
 - TD0004: FCS_TLS_EXT Man-in-the-Middle Test - This Technical Decision removes the FCS_TLS_EXT man-in-the-middle tests for the NDPP (FCS_TLS_EXT.1.1, Test 2), pending development of new TLS requirements and assurance activities and identification of suitable test tools.
 - TD0005: FPT_ITT Test 3 Resolution - This Technical Decision removes the need to perform Test 3 associated with FPT_ITT.1 in NDPP, consistent with the test requirements for FTP_ITC.1 and FTP_TRP.1.

² See MSR4000 Series Routers in section 2.1.4 for a description of the Service Processing Units.

- TD0011: FCS_SSH_EXT.1.4 Clarification - The SFR requires that the SSH transport implementation use specific encryption algorithms. The TD clarifies that the restrictions must be implemented by the TOE and cannot be reliant upon configuration of the SSH client.
 - TD0012: FCS_SSH_EXT.1.4 - Algorithms not identified in FCS_SSH_EXT.1.4 must not be allowed; other cipher suites (such as 3DES-CBC) must be disabled in evaluated configurations. The Assurance Activities associated with this requirement must verify that connection attempts with algorithms not listed in FCS_SSH_EXT.1.4 are denied. The NDPP was updated via errata to reflect this decision.
 - TD0017: NDPP Audit Shutdown - This Technical Decision allows for the use of a startup audit record to indicate audit shutdown in the event of an uncontrolled shutdown.
 - TD0026: FPT_TUD_EXT.1 - This Technical Decision allows for the administrator following TOE guidance to reject an illegitimate update detected by the TOE, in addition to the TOE rejecting the update automatically (during testing).
 - TD0032: FCS_SSH_EXT.1.2 – The SFR was rewritten to conditionally require password-based authentication.
- Common Criteria for Information Technology Security Evaluation Part 2: Security functional components, Version 3.1, Revision 3³, July 2009.
 - Part 2 Extended
 - Common Criteria for Information Technology Security Evaluation Part 3: Security assurance components, Version 3.1 Revision 3⁴, July 2009.
 - Part 3 Conformant

1.3 Conventions

The following conventions have been applied in this document:

- Security Functional Requirements – Part 2 of the CC defines the approved set of operations that may be applied to functional requirements: iteration, assignment, selection, and refinement.
 - Iteration: allows a component to be used more than once with varying operations. In the ST, iteration is indicated by a number in parentheses placed at the end of the component. For example FDP_ACC.1 (1) and FDP_ACC.1 (2) indicate that the ST includes two iterations of the FDP_ACC.1 requirement, (1) and (2).
 - Assignment: allows the specification of an identified parameter. Assignments are indicated using bold and are surrounded by brackets (for example, [**assignment**]). Note that an assignment within a selection would be identified in italics and with embedded bold brackets (for example, [*selected-assignment*]).
 - Selection: allows the specification of one or more elements from a list. Selections are indicated using bold italics and are surrounded by brackets (for example, [*selection*]).
 - Refinement: allows the addition of details. Refinements are indicated using bold, for additions, and strike-through, for deletions (for example, “... **all** objects ...” or “... ~~some~~ **big** things ...”). Note that ‘cases’ that are not applicable in a given SFR have simply been removed without any explicit identification.

³ NDPP claims conformance to CC Part 2 Revision 3. CC Part 2 Revision 3 and Revision 4 are the same for the requirements included in this ST,

⁴ NDPP claims conformance to CC Part 3 Revision 3. CC Part 3 Revision 3 and Revision 4 are the same for the requirements included in this ST,

- The NDPP uses an additional convention – the ‘case’ – which defines parts of an SFR that apply only when corresponding selections are made or some other identified conditions exist. Only the applicable cases are identified in this ST and they are identified using **bold** text.
- Other sections of the ST – Other sections of the ST use bolding to highlight text of special interest, such as captions.

1.3.1 Terminology

FlexBranch	A solution provided by HP that integrates network connectivity and applications.
FlexNetwork	A network architecture developed by Hewlett-Packard to provide networking services and a consistent network architecture for the data center, campus and branch offices. It was developed to support technologies such as virtualization and cloud computing.

1.3.2 Acronyms

AAA	Authentication, Authorization and Accounting
ACL	Access Control List
AES	Advanced Encryption Standard
AUT	Authentication
CBC	Cipher-Block Chaining
CC	Common Criteria for Information Technology Security Evaluation
CEM	Common Evaluation Methodology for Information Technology Security
CM	Configuration Management
CLI	Command Line Interface
CPU	Central Processing Unit
DH	Diffie-Hellman
EVI	Ethernet Virtualization Interconnection
FDP	User Data Protection CC Class
FIA	Identification and Authentication CC Class
FIPS	Federal Information Processing Standard
FMT	Security Management CC Class
FSP	Functional Specification
GR	Graceful Restart
HMAC	Hashed Message Authentication Code
IP	Internet Protocol
IPC	Inter-process communication
IPv4	Internet Protocol version 4
IPv6	Internet Protocol version 6
IPsec	Internet Protocol Security
ISSU	In Service Software Upgrades
IT	Information Technology
LACP	Link Aggregation Control Protocol
LAN	Local Area Network
MDC	Multitenant device context
MOF	Management of Functions
MPLS	Multiprotocol Label Switching
MTD	Management of TSF Data
Mpps	Millions of packets per second
NDPP	Protection Profile for Network Devices
OAA	Open Application Architecture
OSP	Organization Security Policy
OSPF	Open Shortest Path First
POS	Prime order subgroup

PP	Protection Profile
PCI	Peripheral Component Interconnect (personal computer bus)
QoS	Quality of Service
RADIUS	Remote Authentication Dial In User Service
RPC	Remote procedure call
RSA	Rivest, Shamir and Adleman (algorithm for public-key cryptography)
SA	Security Association
SAR	Security Assurance Requirement
SFP	Security Function Policy
SFR	Security Functional Requirement
SHA	Secure Hash Algorithm
SIC	Smart Interface Card
SM	Security Management
SMR	Security Management Roles
SOF	Strength of Function
SSH	Secure Shell
ST	Security Target
TACACS+	Terminal Access Controller Access Control System Plus
TCP	Transmission Control Protocol
TOE	Target of Evaluation
TRILL	Transparent Interconnection of Lots of Links
TSC	TSF Scope of Control
TSF	TOE Security Functions
TSP	TOE Security Policy
UAU	User Authentication
UDP	User Data Protection
USB	Universal Serial Bus
VLAN	Virtual Local Area Network
VPN	Virtual Private Network
WAN	Wide Area Network

2. TOE Description

The Target of Evaluation (TOE) is the Hewlett Packard Enterprise MSR 1000, 2000, 3000, and 4000 Series Routers with Comware V7.1.059, Release 0305. The MSR 1000 Series router in the evaluated configuration comprises the following specific devices:

- HP MSR1002-4 AC router ((JG875A),
- HP MSR1003-8S AC Router (JH060A).

The MSR 2000 Series router in the evaluated configuration comprises the following specific devices:

- HP MSR 2003 AC Router (JG411A),
- HP MSR 2004-24 AC Router (JG734A),
- HP MSR 2004-48 Router (JG735A).

The MSR 3000 Series in the evaluated configuration comprises the following specific devices:

- HP MSR3012 AC Router (JG409A),
- HP MSR3012 DC Router (JG410A),
- HP MSR3024 AC Router ((JG406A),
- HP MSR3024 DC Router (JG407A),

- HP MSR3024 PoE Router (JG408A),
- HP MSR3044 Router (JG405A), and
- HP MSR3064 Router (JG404A).

The MSR 4000 Series in the evaluated configuration comprises the following specific devices:

- HP MSR 4060 Router Chassis with HP MSR4000 MPU-100 Main Processing Unit (JG403A); and the
- HP MSR 4080 Router Chassis with HP MSR4000 MPU-100 Main Processing Unit (JG402A).

Note: Each MSR4000 product series must also have one of the following Service Processing Units: HP MSR4000 SPU-100 Service Processing Unit (JG413A); HP MSR4000 SPU-200 Service Processing Unit (JG414A); or HP MSR4000 SPU-300 Service Processing Unit (JG670A).

Each series of routers comprising the TOE consists of a set of distinct devices (as identified in Section 1.1), which vary primarily according to power delivery, performance, and port density.

The MSR 1000, 2000, 3000, and 4000 routers have a fixed number of ports and each support plug-in modules, which provide additional functionality (for example, various numbers and types of network connection ports). All of the available plug-in modules are included and can optionally be used in the evaluated configuration (see below) as they do not affect any of the claimed security functionality.

2.1 TOE Overview

The various routers comprising the TOE are all Gigabit Ethernet router appliances that consist of hardware and software components. While the physical form factor of each of the four series of MSR routers is substantially different, the underlying hardware shares a similar architecture. The software uses a common code base of a modular nature with only the modules applicable for the specific hardware installed.

The following product descriptions identify the evaluated hardware and software included in the TOE. The scope of the evaluation is the security functions specified in the NDPP. The additional product features that have been described are not within the scope of evaluation but are provided to identify the product type and intended use. Please see the details in the text below.

2.1.1 MSR1000 Series Routers

The Hewlett Packard Enterprise MSR1000 series routers are a multiservice routers designed for small sized branch offices or departmental end points. HP MSR1000 series routers feature interface and module options for Local Area Network (LAN) and Wide Area Network (WAN) communications, along with security and convergence capabilities through embedded and integrated encryption and voice processing. The evaluation covered Internet Protocol Security (IPsec) capabilities specified in the NDPP.

The HP MSR1002-4 AC router provides three Smart Interface Card (SIC) interface module slots and up to eight Gigabit Ethernet LAN ports which can be re-configured as WAN Routing ports. The router provides High-density Ethernet access with WAN Fast Ethernet/Gigabit Ethernet, LAN 4- and 9-port Fast Ethernet; and mobility access with 2 SIC (or 1 DSCI slot) module slots. The router also provides 1 RJ-45 autosensing 10/100/1000 WAN port, 1 SFP fixed Gigabit Ethernet SFP port, 4 RJ-45 autosensing 10/100/1000 LAN ports, and 1 Serial port.

The MSR1003-8S AC router provides 3 SIC or 1 SIC and 1 DSIC module slots, 2 RJ-45 autosensing 10/100/1000 WAN ports, and 8 RJ-45 autosensing 10/100/1000 LAN ports, supporting up to 500Kpps forwarding and 170Mbps of IPsec encryption throughput.

The routers support IPv6 with full Layer 2 and Layer 3 features. The router uses USB memory disk to download and upload configuration and operating system image files; and supports an external USB 3G/4G modem for a 3G/4G WAN uplink. The models included in the TOE are the HP MSR1002-4 AC router (JG875A); and the HP MSR1003-8S AC Router (JH060A).

The following module(s) are supported by this series and can optionally be used since they do not affect any of the claimed security functions but rather serve to extend available network connectivity:

- HP A-MSR 4-port 10/100Base-T Switch SIC Module JD573B

- HP A-MSR 9-port 10/100Base-T Switch DSIC Module JD574B
- HP A-MSR 1-port 10/100Base-T SIC Module JD545B
- HP A-MSR 1-port 100Base-X SIC Module JF280A
- HP A-MSR 2-port FXO SIC Module JD558A
- HP A-MSR 1-port FXO SIC Module JD559A
- HP A-MSR 2-port FXS SIC Module JD560A
- HP A-MSR 1-port FXS SIC Module JD561A
- HP A-MSR 4-port FXS/1-port FXO DSIC Mod JD189A
- HP A-MSR 2-port ISDN-S/T Voice SIC Module JF821A
- HP A-MSR 2-port FXS/1-port FXO SIC Module JD632A
- HP A-MSR 1-port E1/Fractional E1 (75ohm) SIC Module JD634B
- HP A-MSR 1-port T1/Fractional T1 SIC Module JD538A
- HP A-MSR 2-port E1/Fractional E1 (75ohm) SIC Module JF842A
- HP A-MSR 1-port Enhanced Sync/Async Serial SIC Module JD557A
- HP A-MSR 1-port ISDN-S/T SIC Module JD571A
- HP A-MSR 8-port Async Serial SIC Module JF281A
- HP 802.11b/g/n Wireless AP SIC Module JF819A
- HP MSR 802.11b/g/n Wless AP SIC Mod (NA) JG211A
- HP A-MSR 16-port Async Serial SIC Module JG186A
- HP A-MSR HSPA/WCDMA SIC Module JG187A
- HP A-MSR 1-port ADSL over POTS SIC Mod JD537A
- HP MSR 1-p ADSL over ISDN BRI U SIC Mod JG056B
- HP A-MSR 1-p 8-wire G.SHDSL DSIC Module JG191A
- HP MSR 1p E1/CE1/PRI SIC Mod JG604
- HP MSR 4G LTE SIC Mod for Verizon JG742A
- HP MSR 4G LTE SIC Mod for ATT JG743A
- HP MSR 4G LTE SIC Mod for Global JG744A
- HP A-MSR 4-port 10/100Base-T PoE Switch SIC Module JD620A
- HP A-MSR 9-port 10/100Base-T PoE Switch DSIC Module JD621A
- HP MSR 2p Enh Sync/Async Srl SIC Mod JG736A
- HP MSR 4p Enh Sync/Async Srl SIC Mod JG737A
- HP MSR 1p GbE Combo SIC Mod JG738A
- HP MSR 4p Gig-T Switch SIC Mod JG739A
- HP MSR 4p Gig-T PoE Switch SIC Mod JG740A

2.1.2 MSR2000 Series Routers

The HP MSR2000 series router is a component of the Hewlett Packard Enterprise FlexBranch solution, which is a part of the comprehensive Hewlett Packard Enterprise FlexNetwork architecture. These router appliances feature a modular design that delivers application services for small- to medium-sized branch offices. This provides the benefit of reduced complexity, and simplified configuration, deployment, and management. HP MSR2000 series routers feature an interface and module options for reliable, scalable LAN and WAN communications, along with security and convergence capabilities through embedded and integrated encryption and voice processing. The evaluation covered IPsec capabilities specified in the NDPP. The HP MSR2000 supports up to 400 Mb/s of IPsec VPN encrypted throughput.

The routers provides 3-4 interface module slots and 15 high-density Fast Ethernet ports, WAN Gigabit Ethernet and LAN 4-port and 9-port Fast Ethernet; and mobility access with 3G SIC module and 3G/4G USB modems; as well as provides IPv6 support with full Layer 2 and Layer 3 features. The routers use USB memory disk to download and upload configuration and operating system image files; and supports an external USB 3G/4G modem for a 3G/4G WAN uplink. The series includes:

- HP MSR2003 AC Router JG411A
- HP MSR2004-24 AC Router JG734A
- HP MSR2004-48 Router JG735A

The following modules, extending the physically available ports, are supported by this series and can optionally be used since they do not affect any of the claimed security functions but rather serve to extend available network connectivity:

- HP A-MSR 4-port 10/100Base-T Switch SIC Module JD573B
- HP A-MSR 9-port 10/100Base-T Switch DSIC Module JD574B
- HP A-MSR 1-port 10/100Base-T SIC Module JD545B
- HP A-MSR 1-port 100Base-X SIC Module JF280A
- HP A-MSR 2-port FXO SIC Module JD558A
- HP A-MSR 1-port FXO SIC Module JD559A
- HP A-MSR 2-port FXS SIC Module JD560A
- HP A-MSR 1-port FXS SIC Module JD561A
- HP A-MSR 4-port FXS/1-port FXO DSIC Mod JG189A
- HP A-MSR 1-port E1 Voice SIC Module JF821A
- HP A-MSR 2-port FXS/1-port FXO SIC Module JD632A
- HP A-MSR 1-port E1/Fractional E1 (75ohm) SIC Module JD634B
- HP A-MSR 1-port T1/Fractional T1 SIC Module JD538A
- HP A-MSR 2-port E1/Fractional E1 (75ohm) SIC Module JF842A
- HP A-MSR 1-port Enhanced Sync/Async Serial SIC Module JD557A
- HP A-MSR 1-port ISDN-S/T SIC Module JD571A
- HP A-MSR 8-port Async Serial SIC Module JF281A
- HP A-MSR 16-port Async Serial SIC Module JG186A
- HP A-MSR HSPA/WCDMA SIC Module JG187A
- HP A-MSR 1-port ADSL over POTS SIC Mod JD537A
- HP MSR 1-p ADSL over ISDN BRI U SIC Mod JG056B
- HP A-MSR 1-p 8-wire G.SHDSL DSIC Module JG191A
- HP MSR 1p E1/CE1/PRI SIC Mod JG604A
- HP MSR 4G LTE SIC Mod for Verizon JG742A
- HP MSR 4G LTE SIC Mod for ATT JG743A
- HP MSR 4G LTE SIC Mod for Global JG744A
- HP MSR 2p Enh Sync/Async Srl SIC Mod JG736A
- HP MSR 4p Enh Sync/Async Srl SIC Mod JG737A
- HP MSR 1p GbE Combo SIC Mod JG738A
- HP MSR 4p Gig-T Switch SIC Mod JG739A
- HP MSR 4p Gig-T PoE Switch SIC Mod JG740A

2.1.3 MSR3000 Series Routers

The HP MSR3000 series routers are components of the HP FlexBranch solution, which is a part of the comprehensive HP FlexNetwork architecture. These router appliances feature a modular design that delivers application services for medium to large-sized branch offices. This provides the benefit of reduced complexity, and simplified configuration, deployment, and management. The MSR3000 routers use the latest multicore central processing units (CPUs), offer Gigabit switching, provide an enhanced Peripheral Component Interconnect (PCI) bus, and provides a full-featured, resilient routing platform, including IPv6 and Multiprotocol Label Switching (MPLS), with up to 5 million packets per second (Mpps) forwarding capacity and 3.3 Gb/s of IPsec VPN encrypted throughput. The evaluation covered IPsec capabilities specified in the NDPP. The MSR3000 router series provide up to three on-board Gigabit Ethernet ports. They support Layer 2 switching, select Layer 3 services, and static Layer 3 routing, as well as provide dual IP stack to transition from IPv4 to IPv6. The series include:

- HP MSR 3012 AC Router JG409A
- HP MSR 3012 DC Router JG410A
- HP MSR 3024 AC Router JG406A
- HP MSR 3024 DC Router JG407A
- HP MSR 3024 PoE Router JG408A
- HP MSR 3044 Router JG405A

- HP MSR 3064 Router JG404A

The following modules, extending the physically available ports, are supported by this series and can optionally be used since they do not affect any of the claimed security functions but rather serve to extend available network connectivity:

- HP A-MSR 4-port 10/100Base-T Switch SIC Module JD573B
- HP A-MSR 9-port 10/100Base-T Switch DSIC Module JD574B
- HP A-MSR 1-port 10/100Base-T SIC Module JD545B
- HP A-MSR 1-port 100Base-X SIC Module JF280A
- HP A-MSR 2-port FXO SIC Module JD558A
- HP A-MSR 1-port FXO SIC Module JD559A
- HP A-MSR 2-port FXS SIC Module JD560A
- HP A-MSR 1-port FXS SIC Module JD561A
- HP A-MSR 4-port FXS/1-port FXO DSIC Mod JG189A
- HP A-MSR 1-port E1 Voice SIC Module JD575A
- HP A-MSR 1-port T1 Voice SIC Module JD576A
- HP A-MSR 2-port ISDN-S/T Voice SIC Module JF821A
- HP A-MSR 2-port FXS/1-port FXO SIC Module JD632A
- HP A-MSR 1-port E1/Fractional E1 (75ohm) SIC Module JD634B
- HP A-MSR 1-port T1/Fractional T1 SIC Module JD538A
- HP A-MSR 2-port E1/Fractional E1 (75ohm) SIC Module JF842A
- HP A-MSR 1-port Enhanced Sync/Async Serial SIC Module JD557A
- HP A-MSR 1-port ISDN-S/T SIC Module JD571A
- HP A-MSR 8-port Async Serial SIC Module JF281A
- HP A-MSR 16-port Async Serial SIC Module JG186A
- HP A-MSR HSPA/WCDMA SIC Module JG187A
- HP A-MSR 1-port ADSL over POTS SIC Mod JD537A
- HP MSR 1-p ADSL over ISDN BRI U SIC Mod JG056B
- HP A-MSR 1-p 8-wire G.SHDSL DSIC Module JG191A
- HP MSR 1p E1/CE1/PRI SIC Mod JG604A
- HP MSR 4G LTE SIC Mod for Verizon JG742A
- HP MSR 4G LTE SIC Mod for Global JG743A
- HP MSR 4G LTE SIC Mod for Global JG744A
- HP A-MSR 4-port 10/100Base-T PoE Switch SIC Module JD620A
- HP A-MSR 9-port 10/100Base-T PoE Switch DSIC Module JD621A
- HP MSR 2p Enh Sync/Async Srl SIC Mod JG736A
- HP MSR 4p Enh Sync/Async Srl SIC Mod JG737A
- HP MSR 1p GbE Combo SIC Mod JG738A
- HP MSR 4p Gig-T Switch SIC Mod JG739A
- HP MSR 4p Gig-T PoE Switch SIC Mod JG740A

2.1.4 MSR4000 Series Routers

The HP MSR 4000 series routers are components of the HP FlexBranch solution, which is a part of the comprehensive HP FlexNetwork architecture. These router appliances feature a modular design that delivers application services for extra-large branch offices, headquarters, and campuses. This provides the benefit of reduced complexity, and simplified configuration, deployment, and management. The MSR 4000 series leverages separated data and control planes, dual main processing units (MPUs), and support for up to four power supplies, which provides outstanding performance and reliability.

The MSR4000 routers provide a full-featured, resilient routing platform with the latest multicore CPUs, offer 10 Gigabit switching, provide an enhanced PCI bus, and ship with the latest version of HP Comware software to help ensure high performance with concurrent services. The MSR4000 series provides a full-featured, resilient routing platform, including IPv6 and MPLS, with up to 20 Mpps forwarding capacity and 8 Gb/s of IPsec VPN encrypted throughput. The evaluation included IPsec capabilities as specified in the NDPP . The series include:

- HP MSR 4060 Router Chassis with HP MSR4000 MPU-100 Main Processing Unit (JG403A)
- HP MSR 4080 Router Chassis with HP MSR4000 MPU-100 Main Processing Unit (JG402A)

Note: Each model series must also have one of the following Service Processing Units: HP MSR4000 SPU-100 Service Processing Unit (JG413A); HP MSR4000 SPU-200 Service Processing Unit (JG414A); or HP MSR4000 SPU-300 Service Processing Unit (JG670A).

Most of the available modules, including the fabric modules, serve to extend the number of physical ports available and the throughput performance to the base appliance. However, the Main Processing Unit and the Service Processing Units serve to add additional processing power to the base appliance. A service processing unit performs data forwarding, encryption or decryption, and analyzing or filtering of data packets. A main processing unit performs route calculation, forward table maintenance, and configures and monitors the SPU. MPUs and SPUs execute the same multiprocessor-capable operating system in conjunction with the instance operating on the processor(s) found in the base appliance.

The following modules are supported by this series and can optionally be used since they do not affect any of the claimed security functions but rather serve to extend available network connectivity:

- HP MSR G2 128-channel Voice Processing Module
- HP A-MSR 1-port E1 Voice MIM Module JD565B
- HP A-MSR 2-port E1 Voice MIM Module JD567B
- HP A-MSR 1-port T1 Voice MIM Module JD566B
- HP MSR 1-port E3 / CE3 / FE3 HMIM Module
- HP A-MSR 2-port T1 Voice MIM Module JD568B
- HP A-MSR 16-port FXS MIM Module JF822A
- HP A-MSR 16-port Enhanced Async Serial MIM Module JF841A
- Note 13 0.5U HMIM Adapter Modules can adapt following MIM Modules:
- HP A-MSR 8-port Enhanced Async Serial MIM Module JF840A
- HP A-MSR 1-port T3/CT3/FT3 MIM Module JD628A
- HP A-MSR 1-port E3/CE3/FE3 MIM Module JD630A
- HP A-MSR 1-port OC-3c/STM-1c POS MIM Module JG193A
- HP A-MSR 2-port Enhanced Sync/Async Serial MIM Module JD540A
- HP A-MSR 4-port Enhanced Sync/Async Serial MIM Module JD541A
- HP A-MSR 8-port Enhanced Sync/Async Serial MIM Module JD552A
- HP A-MSR 4-port FXS MIM Module JD553A
- HP A-MSR 4-port FXO MIM Module JD542A
- HP A-MSR 4-port E&M MIM Module JD539A
- HP A-MSR 2-port E1/CE1/PRI MIM Module JD544B
- HP A-MSR 4-port E1/CE1/PRI MIM Module JD550B
- HP A-MSR 8-port E1/CE1/PRI (75ohm) MIM Module JD563A
- HP A-MSR 4-port E1/Fractional E1 MIM Module JF257B
- HP A-MSR 8-port E1/Fractional E1 (75ohm) MIM Module JF255A
- HP A-MSR 2-port T1/CT1/PRI MIM Module
- HP A-MSR 4-port T1/Fractional T1 MIM Module JF254B
- HP A-MSR 8-port T1/CT1/PRI MIM Module JC160A
- HP A-MSR 8-port T1/Fractional T1 MIM Module JC159A
- HP A-MSR 2-port 10/100Base-T MIM Module JD613A
- HP A-MSR 4-port 10/100Base-T MIM Module JD551A
- HP A-MSR 2-port Gig-T MIM Module JD548A
- HP A-MSR 2-port FXO MIM Module JD543A
- HP A-MSR 4-port ISDN-S/T Voice MIM Module JF837A
- HP MSR G2 128-channel Voice Processing Module

2.2 TOE Architecture

The various routers comprising the TOE share a common software code base, called Comware. Comware is special purpose appliance system software that implements a wide array of networking technology, including: IPv4/IPv6 dual-stacks, a data link layer, layer 2 and 3 routing, Ethernet switching, Virtual Local Area Network (VLANs), Quality of Service (QoS), etc. The evaluated version of Comware is V7.1.059, Release 0305. It should be noted that Comware runs on a variety of underlying architectures including VxWorks, Linux, pSOS and Windows; however, the only underlying architecture found in the evaluated configuration is Linux. The TOE supports both IPv4 and IPv6 networks; however, IPv6 was not tested as part of this evaluation.

Comware V7.1.059, Release 0305 implements full modularization and multi-process applications, as well as provides the following benefits:

- Full modularization—Brings improvements in system availability, virtualization, multi-core multi-CPU applications, distributed computing, and dynamic loading and upgrading.
- Openness—Comware V7.1 is a generic, open system based on Linux.
- Improved operations—Comware V7.1 improves some detailed operations. For example, it uses preemptive scheduling to improve real-time performance.

Comware V7.1 optimizes the following functions:

- Virtualization—Supports N:1 virtualization.
- ISSU—Supports ISSU for line cards.
- Auxiliary CPU and OAA—Improve scalability for devices.

In addition, Comware V7.1 supports IETF industry standard TRILL (Transparent Interconnection of Lots of Links). TRILL provides support for VLANs and is a link state routing protocol running over layer 2 that enables loop free large Layer 2 networks with multi-path support. TRILL and the optimization functions are not security relevant and not covered by the evaluation but can be included in the evaluated configuration as they do not affect any of the claimed security functions.

Comware V7.1 comprises four planes: management plane, control plane, data plane, and infrastructure plane:

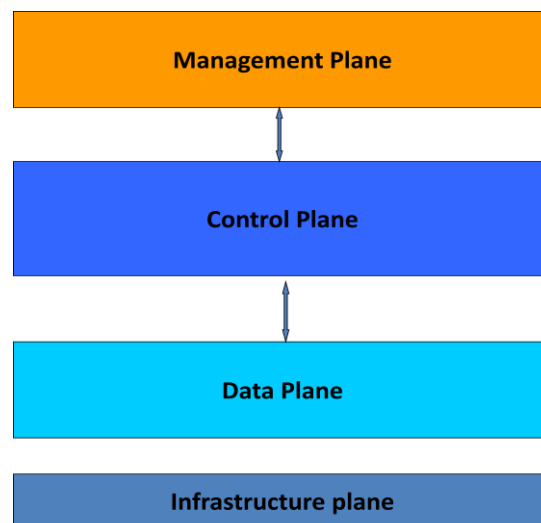


Figure 1 Comware V7.1 Architecture

- **Infrastructure plane** – The infrastructure plane provides basic Linux services and Comware support functions. Basic Linux services comprise basic Linux functions, C language library functions, data structure operations, and standard algorithms. Comware support functions provide software and service infrastructures for Comware processes, including all basic functions.

- **Data plane** – The data plane provides data forwarding for local packets and received IPv4 and IPv6 packets at different layers.
- **Control plane** – The control plane comprises all routing, signaling, and control protocols, such as MPLS, OSPF, and security control protocols. It generates forwarding tables for the data plane.
- **Management plane** – The management plane provides a management interface for operators to configure, monitor, and manage Comware V7.1. The management interface comprises a CLI accessed using Secure Shell (SSH).

The Comware V7.1 software is further decomposed into subsystems designed to implement applicable functions. For example, there are subsystems dedicated to the security management interface. There are also subsystems dedicated to the IPv4 and IPv6 network stacks as well as the applicable network protocols and forwarding, routing, etc.

From a security perspective, the TOE implements NIST-validated cryptographic algorithms that support the IPsec and SSH protocols as well as digital signature services that support the secure update capabilities of the TOE. Otherwise, the TOE implements a wide range of network switching protocols and functions.

The various TOE devices include the same security functions. The salient differences between the devices are the available ports and port adapters primarily representing differences in numbers, types, and speeds of available network connections.

2.2.1 Multitenant device context

Multitenant device context (MDC) is a 1:N virtualization technology. It virtualizes the data plane, control plane, and management plane of a physical device to create multiple logical devices called MDCs. MDCs use the same kernel, but their data is separated. Each MDC has its own interfaces and CPU resources. Rebooting an MDC does not affect the configuration or service of any other MDC.

Note that since this technology is not covered in the NDPP, the Multitenant device context virtualization technology was not subject to evaluation.

2.2.2 Physical Boundaries

A TOE device in MSR1000 Series, MSR2000 Series, MSR3000 Series, or MSR4000 Series is a physical network rack-mountable appliance with a fixed number of Ethernet ports as shown in Table 2. Each series also supports modules that serve to offer a wide range of network ports varying in number, form factor (copper or fiber), and performance (1 – 10 Gb). The applicable modules for each series are identified in Section 2.1.

Product Series	Specific Devices	CPU	Ports
HP MSR1000	HP MSR1002-4 AC Router	Freescale P1016	10
	HP MSR1003-8S AC Router	Freescale P1016	10
HP MSR2000	HP MSR2003 AC Router	Freescale P1021	2
	HP MSR2004-24 AC Router	Freescale P1021	2
	HP MSR2004-48 Router	Freescale P1021	2
HP MSR3000	HP MSR3012 AC Router	Cavium CN6130	4
	HP MSR3012 DC Router	Cavium CN6130	4
	HP MSR3024 AC Router	Cavium CN6130	4
	HP MSR3024 DC Router	Cavium CN6130	4
	HP MSR3024 PoE Router	Cavium CN6130	4
	HP MSR3044 Router	Cavium CN6130	5
	HP MSR3064 Router	Cavium CN6635	5

HP MSR4000	HP MSR 4060 Router Chassis	Cavium CN6218	4
	HP MSR 4080 Router Chassis	Cavium CN6218	4
HP MSR4000 Service Processing Units	SPU-100	Cavium6740,1Ghz,MIPS	-
	SPU-200	Cavium6760,1Ghz,MIPS	-
	SPU-300	Cavium6880,1.2Ghz,MIPS	-

Table 2 CPU's and Number of Fixed Ports by Device

The TOE can be configured to rely on and use a number of other components in its operational environment.

- Syslog server – to receive audit records when the TOE is configured to deliver them to an external log server.
- RADIUS and TACACS+ servers – The TOE can be configured to use external authentication servers.
- Management Workstation – The TOE supports CLI access and as such an administrator would need a SSHv2 client to use the administrative interface.

2.2.3 Logical Boundaries

This section summarizes the security functions provided by the TOE:

- Security audit
- Cryptographic support
- User data protection
- Identification and authentication
- Security management
- Protection of the TSF
- TOE access
- Trusted path/channels

2.2.3.1 Security audit

The TOE is able to generate logs for a wide range of security relevant events including the events specified in NDPP . The TOE can be configured to store the logs locally so they can be accessed by an administrator or alternately to send the logs to a designated external log server.

2.2.3.2 Cryptographic support

The TOE includes NIST-validated cryptographic mechanisms that provide key management, random bit generation, encryption/decryption, digital signature and secure hashing and key-hashing features in support of higher level cryptographic protocols, including IPsec and SSHv2. Note that in the evaluated configuration, the TOE must be configured in FIPS mode, which ensures the TOE uses only FIPS-approved and NIST-recommended cryptographic algorithms..

2.2.3.3 User data protection

The TOE performs network switching and routing functions, passing network traffic among its various physical and logical network connections. While implementing applicable network protocols associated with network traffic forwarding, the TOE employs mechanisms to ensure that it does not inadvertently reuse data found in network traffic.

2.2.3.4 Identification and authentication

The TOE requires administrators to be successfully identified and authenticated before they can access any security management functions available in the TOE. The TOE offers both a locally connected console and a network accessible interface (SSHv2) for interactive administrator sessions.

The TOE supports on device definition of administrators with usernames and passwords. Additionally, the TOE can be configured to utilize the services of trusted RADIUS and TACACS+ servers in the operational environment to support, for example, centralized user administration. The TOE supports the use of text-based pre-shared keys for IKE peer authentication.

2.2.3.5 Security management

The TOE provides Command Line (CLI) commands to access a range of security management functions. Security management commands are limited to administrators and are available only after they have provided acceptable identification and authentication data to the TOE.

2.2.3.6 Protection of the TSF

The TOE implements a number of features to ensure the reliability and integrity of its security features.

It protects data such as stored passwords and cryptographic keys so that they are not accessible even by an administrator. It also provides its own timing mechanism to ensure that reliable time information is available (for example, for log accountability).

The TOE uses cryptographic means to protect communication with remote administrators. When the TOE is configured to use the services of a Syslog server or authentication servers in the operational environment, the communication between the TOE and the operational environment component is protected using encryption.

The TOE includes functions to perform self-tests so that it might detect when it is failing. It also includes mechanisms to ensure updates to the TOE will not introduce malicious or other unexpected changes in the TOE.

2.2.3.7 TOE access

The TOE can be configured to display an informative banner that will appear prior to authentication when accessing the TOE via the console or SSH interfaces. The TOE subsequently will enforce an administrator-defined inactivity timeout value which, when exceeded, will terminate the inactive session.

2.2.3.8 Trusted path/channels

The TOE protects interactive communication with administrators using SSHv2 for CLI access. Using SSHv2, both integrity and disclosure protection are ensured.

The TOE protects communication with network peers, such as audit and authentication servers, using IPsec connections to prevent unintended disclosure or modification of logs.

2.3 TOE Documentation

There are numerous documents that provide information and guidance for the deployment of the TOE. In particular, there are four Common Criteria specific guides that reference the security-related guidance material for all products evaluated:

- “Preparative Procedures for CC NDPP Evaluated Hewlett Packard Enterprise MSR1000, MSR2000, MSR3000 and MSR4000 router series based on Comware V7.1”, Version V1.01, dated 2/16/2016
- “Command Reference for CC Supplement”, Revision 1.05, dated 1/22/2016
- “Configuration Guide for CC Supplement”, Revision 1.6, dated 1/22/2016
- “Comware V7 Platform System Log Messages”, Revision 1.00, dated 4/21/2014.

The links in Appendix A for each series can be used to find the full set of documentation for each of the evaluated router series. Note that only the documents listed above were examined during the course of the evaluation, and are the approved documents for configuring and using the TOE in its evaluated configuration.

3. Security Problem Definition

This security target includes by reference the Security Problem Definition (composed of organizational policies, threat statements, and assumption) from NDPP. There are no additional assumptions.

In general, the NDPP presents a Security Problem Definition appropriate for network devices, such as routers, and as such is applicable to the Hewlett Packard Enterprise TOE.

4. Security Objectives

Like the Security Problem Definition, this security target includes by reference the Security Objectives from the NDPP. The NDPP security objectives for the operational environment are reproduced below, since these objectives characterize technical and procedural measures each consumer must implement in their operational environment.

In general, the NDPP presents Security Objectives appropriate for network infrastructure devices, such as routers, and as such are applicable to the Hewlett Packard Enterprise TOE.

4.1 Security Objectives for the Operational Environment

OE.NO_GENERAL_PURPOSE

There are no general-purpose computing capabilities (e.g., compilers or user applications) available on the TOE, other than those services necessary for the operation, administration and support of the TOE.

OE.PHYSICAL

Physical security, commensurate with the value of the TOE and the data it contains, is provided by the environment.

OE.TRUSTED_ADMIN

TOE Administrators are trusted to follow and apply all administrator guidance in a trusted manner.

5. IT Security Requirements

This section defines the Security Functional Requirements (SFRs) and Security Assurance Requirements (SARs) that serve to represent the security functional claims for the TOE and to scope the evaluation effort.

The SFRs have all been drawn from NDPP as amended by Errata #3. As a result, refinements and operations already performed in the protection profile (PP) are not identified (for example, highlighted) here. Rather the requirements have been copied from the PP and any residual operations have been completed herein. Of particular note, NDPP makes a number of refinements and completed some of the SFR operations defined in the CC and the reader should consult that PP to identify those changes if necessary.

The SARs are the set of SARs specified in NDPP.

5.1 Extended Requirements

All of the extended requirements in this ST have been drawn from the NDPP. The NDPP defines the following extended SFRs. Since this ST does not redefine the extended SFRs, the reader should consult NDPP for more information in regard to those CC extensions.

- FAU_STG_EXT.1: External Audit Trail Storage
- FCS_CKM_EXT.4: Cryptographic Key Zeroization
- FCS_IPSEC_EXT.1: Explicit: IPSEC
- FCS_RBG_EXT.1: Extended: Cryptographic Operation (Random Bit Generation)
- FCS_SSH_EXT.1: Explicit: SSH
- FIA_PMG_EXT.1: Password Management
- FIA_PSK_EXT.1: Extended: Pre-Shared Key Composition
- FIA_UAU_EXT.2: Extended: Password-based Authentication Mechanism
- FIA_UIA_EXT.1: User Identification and Authentication
- FPT_APW_EXT.1: Extended: Protection of Administrator Passwords
- FPT_SKP_EXT.1: Extended: Protection of TSF Data (for reading of all symmetric keys)
- FPT_TST_EXT.1: TSF Testing
- FPT_TUD_EXT.1: Extended: Trusted Update
- FTA_SSL_EXT.1: TSF-initiated Session Locking

5.2 TOE Security Functional Requirements

The following table identifies the SFRs that are satisfied by the Hewlett Packard Enterprise MSR Routers.

Requirement Class	Requirement Component
FAU: Security audit	FAU_GEN.1: Audit Data Generation
	FAU_GEN.2: User identity association
	FAU_STG_EXT.1: External Audit Trail Storage
FCS: Cryptographic support	FCS_CKM.1: Cryptographic Key Generation (for asymmetric keys)
	FCS_CKM_EXT.4: Cryptographic Key Zeroization
	FCS_COP.1(1): Cryptographic Operation (for data encryption/decryption)
	FCS_COP.1(2): Cryptographic Operation (for cryptographic signature)
	FCS_COP.1(3): Cryptographic Operation (for cryptographic hashing)
	FCS_COP.1(4): Cryptographic Operation (for keyed-hash message authentication)
	FCS_IPSEC_EXT.1: Explicit: IPSEC
	FCS_RBG_EXT.1: Extended: Cryptographic Operation (Random Bit Generation)
	FCS_SSH_EXT.1: Explicit: SSH
FDP: User data protection	FDP_RIP.2: Full Residual Information Protection
FIA: Identification and authentication	FIA_PMG_EXT.1: Password Management
	FIA_PSK_EXT.1: Extended: Pre-Shared Key Composition
	FIA_UAU.7: Protected Authentication Feedback
	FIA_UAU_EXT.2: Extended: Password-based Authentication Mechanism
	FIA_UIA_EXT.1: User Identification and Authentication
FMT: Security management	FMT_MTD.1: Management of TSF Data (for general TSF data)
	FMT_SMF.1: Specification of Management Functions
	FMT_SMR.2: Restrictions on Security Roles
FPT: Protection of the TSF	FPT_APW_EXT.1: Extended: Protection of Administrator Passwords
	FPT_SKP_EXT.1: Extended: Protection of TSF Data (for reading of all symmetric keys)
	FPT_STM.1: Reliable Time Stamps
	FPT_TST_EXT.1: TSF Testing
	FPT_TUD_EXT.1: Extended: Trusted Update
FTA: TOE access	FTA_SSL.3: TSF-initiated Termination
	FTA_SSL.4: User-initiated Termination
	FTA_SSL_EXT.1: TSF-initiated Session Locking
	FTA_TAB.1: Default TOE Access Banners
FTP: Trusted path/channels	FTP_ITC.1: Trusted Channel
	FTP_TRP.1: Trusted Path

Table 3 TOE Security Functional Components

5.2.1 Security audit (FAU)

5.2.1.1 Audit Data Generation (FAU_GEN.1)

FAU_GEN.1.1 The TSF shall be able to generate an audit record of the following auditable events:

- Start-up and shutdown of the audit functions;
- All auditable events for the not specified level of audit; and
- All administrative actions;
- Specifically defined auditable events listed in Table 4.

FAU_GEN.1.2 The TSF shall record within each audit record at least the following information:

- Date and time of the event, type of event, subject identity, and the outcome (success or failure) of the event; and

- b) For each audit event type, based on the auditable event definitions of the functional components included in the PP/ST, information specified in column three of Table 4.

Requirement	Auditable Events	Additional Audit Record Contents
FAU_GEN.1	None.	
FAU_GEN.2	None.	
FAU_STG_EXT.1	None.	
FCS_CKM.1	None.	
FCS_CKM_EXT.4	None.	
FCS_COP.1(1)	None.	
FCS_COP.1(2)	None.	
FCS_COP.1(3)	None.	
FCS_COP.1(4)	None.	
FCS_IPSEC_EXT.1	Failure to establish an IPsec SA.	Reason for failure.
	Establishment/Termination of an IPsec SA.	Non-TOE endpoint of connection (IP address) for both successes and failures.
FCS_RBG_EXT.1	None.	
FCS_SSH_EXT.1	Failure to establish an SSH session. Establishment/Termination of an SSH session.	Reason for failure
	Establishment/Termination of an SSH session.	Non-TOE endpoint of connection (IP address) for both successes and failures.
FDP_RIP.2	None.	
FIA_PMG_EXT.1	None.	
FIA_PSK_EXT.1	None.	
FIA_UAU_EXT.2	All use of the authentication mechanism.	Origin of the attempt (e.g., IP address).
FIA_UIA_EXT.1	All use of the authentication and authentication mechanism.	Provided user identity, origin of the attempt (e.g., IP address).
FIA_UAU.7	None.	
FMT_MTD.1	None.	
FMT_SMF.1	None.	
FMT_SMR.2	None.	
FPT_APW_EXT.1	None.	
FPT_SKP_EXT.1	None.	
FPT_STM.1	Changes to the time.	The old and new values for the time. Origin of the attempt (e.g., IP address).
FPT_TUD_EXT.1	Initiation of update.	No additional information.
FPT_TST_EXT.1	None.	
FTA_SSL_EXT.1	Any attempts at unlocking of an interactive session.	No additional information.
FTA_SSL.3	The termination of a remote session by the session locking mechanism.	No additional information.
FTA_SSL.4	The termination of an interactive session.	No additional information.
FTA_TAB.1	None.	

Requirement	Auditable Events	Additional Audit Record Contents
FTP_ITC.1	Initiation of the trusted channel. Termination of the trusted channel. Failure of the trusted channel functions.	Identification of the initiator and target of failed trusted channels establishment attempt.
FTP_TRP.1	Initiation of the trusted channel. Termination of the trusted channel. Failures of the trusted path functions.	Identification of the claimed user identity.

Table 4 Auditable Events

5.2.1.2 User identity association (FAU_GEN.2)

FAU_GEN.2.1 For audit events resulting from actions of identified users, the TSF shall be able to associate each auditable event with the identity of the user that caused the event.

5.2.1.3 External Audit Trail Storage (FAU_STG_EXT.1)

FAU_STG_EXT.1.1 The TSF shall be able to [*transmit the generated audit data to an external IT entity*] using a trusted channel implementing the [*IPsec*] protocol.

5.2.2 Cryptographic support (FCS)

5.2.2.1 Cryptographic Key Generation (for asymmetric keys) (FCS_CKM.1)

FCS_CKM.1.1 Refinement: The TSF shall generate asymmetric cryptographic keys used for key establishment in accordance with [

- *NIST Special Publication 800-56A, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography" for elliptic curve-based key establishment schemes and implementing "NIST curves" P-256, P-384 and [P-521] (as defined in FIPS PUB 186-3, "Digital Signature Standard")*
- *NIST Special Publication 800-56B, 'Recommendation for Pair-Wise Key Establishment Schemes Using Integer Factorization Cryptography' for RSA-based key establishment schemes]*

and specified cryptographic key sizes equivalent to, or greater than, a symmetric key strength of 112 bits.

5.2.2.2 Cryptographic Key Zeroization (FCS_CKM_EXT.4)

FCS_CKM_EXT.4.1 The TSF shall zeroize all plaintext secret and private cryptographic keys and CSPs when no longer required.

5.2.2.3 Cryptographic Operation (for data encryption/decryption) (FCS_COP.1(1))

FCS_COP.1(1).1 Refinement: The TSF shall perform encryption and decryption in accordance with a specified cryptographic algorithm AES operating in [*CBC, GCM, [CTR]*] and cryptographic key sizes 128-bits and 256-bits that meets the following:

- FIPS PUB 197, 'Advanced Encryption Standard (AES)'
- [*NIST SP 800-38A, NIST SP 800-38D*].

5.2.2.4 Cryptographic Operation (for cryptographic signature) (FCS_COP.1(2))

FCS_COP.1(2).1 Refinement: The TSF shall perform cryptographic signature services in accordance with a [

(2) *RSA Digital Signature Algorithm (rDSA) with a key size (modulus) of 2048 bits or greater or*

(3) *Elliptic Curve Digital Signature Algorithm (ECDSA) with a key size of 256 bits or greater*

that meets the following:

[Case: RSA Digital Signature Algorithm

- *FIPS PUB 186-2 or FIPS PUB 186-3, 'Digital Signature Standard'.*

Case: Elliptic Curve Digital Signature Algorithm

- *FIPS PUB 186-3, 'Digital Signature Standard'.*
- *The TSF shall implement "NIST curves" P-256, P-384 and [P-521] (as defined in FIPS PUB 186-3, "Digital Signature Standard").]*

5.2.2.5 Cryptographic Operation (for cryptographic hashing) (FCS_COP.1(3))

FCS_COP.1(3).1 Refinement: The TSF shall perform cryptographic hashing services in accordance with a specified cryptographic algorithm [*SHA-1, SHA-256, SHA-384, SHA-512*] and message digest sizes [*160, 256, 384, 512*] bits that meet the following: FIPS Pub 180-3, 'Secure Hash Standard.'

5.2.2.6 Cryptographic Operation (for keyed-hash message authentication) (FCS_COP.1(4))

FCS_COP.1(4).1 Refinement: The TSF shall perform keyed-hash message authentication in accordance with a specified cryptographic algorithm HMAC-[*SHA-1, SHA-256, SHA-384, SHA-512*], key size [*160, 256, 384, 512 bits*], and message digest sizes [*160, 256, 384, 512*] bits that meet the following: FIPS Pub 198-1, 'The Keyed-Hash Message Authentication Code', and FIPS Pub 180-3, 'Secure Hash Standard.'

5.2.2.7 Explicit: IPSEC (FCS_IPSEC_EXT.1)

FCS_IPSEC_EXT.1.1 The TSF shall implement the IPsec architecture as specified in RFC 4301.

FCS_IPSEC_EXT.1.2 The TSF shall implement [*tunnel mode, transport mode*].

FCS_IPSEC_EXT.1.3 The TSF shall have a nominal, final entry in the SPD that matches anything that is otherwise unmatched, and discards it.

FCS_IPSEC_EXT.1.4 The TSF shall implement the IPsec protocol ESP as defined by RFC 4303 using [*the cryptographic algorithms*

- *AES-CBC-128 (as specified by RFC 3602) together with a Secure Hash Algorithm (SHA)-based HMAC,*
- *AES-CBC-256 (as specified by RFC 3602) together with a Secure Hash Algorithm (SHA)-based HMAC,*
- *AES-GCM-128 as specified in RFC 4106,*
- *AES-GCM-256 as specified in RFC 4106,*

].

FCS_IPSEC_EXT.1.5 The TSF shall implement the protocol: [*IKEv1 as defined in RFCs 2407, 2408, 2409, RFC 4109, [no other RFCs for extended sequence numbers], and [RFC 4868 for hash functions]; IKEv2 as defined in RFCs 5996 (with mandatory support for NAT traversal as specified in section 2.23), 4307, and [RFC 4868 for hash functions]*].

FCS_IPSEC_EXT.1.6 The TSF shall ensure the encrypted payload in the [*IKEv1, IKEv2*] protocol uses the cryptographic algorithms AES-CBC-128, AES-CBC-256 as specified in RFC 6379 and [*no other algorithm*].

FCS_IPSEC_EXT.1.7 The TSF shall ensure that IKEv1 Phase 1 exchanges use only main mode.

FCS_IPSEC_EXT.1.8 The TSF shall ensure that [*IKEv2 SA lifetimes can be established based on [number of packets/number of bytes; length of time, where the time values can be limited to: 24*

hours for Phase 1⁵ SAs and 8 hours for Phase 2⁶ SAs]; IKEv1 SA lifetimes can be established based on [number of packets/number of bytes; length of time, where the time values can be limited to: 24 hours for Phase 1 SAs and 8 hours for Phase 2 SAs]].

FCS_IPSEC_EXT.1.9 The TSF shall ensure that all IKE protocols implement DH Groups 14 (2048-bit MODP), and [24 (2048-bit MODP with 256-bit POS), 19 (256-bit Random ECP) (IKEv2 only), and 20 (384-bit Random ECP (IKEv2 only))].

FCS_IPSEC_EXT.1.10 The TSF shall ensure that all IKE protocols perform Peer Authentication using the [RSA, ECDSA (IKEv2 only)] algorithm and Pre-shared Keys.

5.2.2.8 Extended: Cryptographic Operation (Random Bit Generation) (FCS_RBG_EXT.1)

FCS_RBG_EXT.1.1 The TSF shall perform all random bit generation (RBG) services in accordance with [NIST Special Publication 800-90 using [CTR_DRBG (AES)]] seeded by an entropy source that accumulated entropy from [a software-based noise source].

FCS_RBG_EXT.1.2 The deterministic RBG shall be seeded with a minimum of [256 bits] of entropy at least equal to the greatest security strength of the keys and hashes that it will generate.

5.2.2.9 Explicit: SSH (FCS_SSH_EXT.1)

FCS_SSH_EXT.1.1 The TSF shall implement the SSH protocol that complies with RFCs 4251, 4252, 4253, 4254, and [5656].

FCS_SSH_EXT.1.2 The TSF shall ensure that the SSH protocol implementation supports the following authentication methods as described in RFC 4252: public key-based, [password-based]⁷.

FCS_SSH_EXT.1.3 The TSF shall ensure that, as described in RFC 4253, packets greater than [256K] bytes in an SSH transport connection are dropped.

FCS_SSH_EXT.1.4 The TSF shall ensure that the SSH transport implementation uses the following encryption algorithms: AES-CBC-128, AES-CBC-256, [AEAD_AES_128_GCM, AEAD_AES_256_GCM].

FCS_SSH_EXT.1.5 The TSF shall ensure that the SSH transport implementation uses [SSH_RSA, ecdsa-sha2-nistp256] and [ecdsa-sha2-nistp384] as its public key algorithm(s).

FCS_SSH_EXT.1.6 The TSF shall ensure that data integrity algorithms used in SSH transport connection is [hmac-sha1, hmac-sha1-96, hmac-sha2-256, hmac-sha2-512].

FCS_SSH_EXT.1.7 The TSF shall ensure that diffie-hellman-group14-sha1 and [ecdh-sha2-nistp256, ecdh-sha2-nistp384] are the only allowed key exchange methods used for the SSH protocol.

5.2.3 User data protection (FDP)

5.2.3.1 Full Residual Information Protection (FDP_RIP.2)

FDP_RIP.2.1 The TSF shall ensure that any previous information content of a resource is made unavailable upon the [allocation of the resource to] all objects.

⁵ That is, IKE_SA_INIT and IKE_AUTH exchanges in IKEv2.

⁶ That is, CREATE_CHILD_SA exchange in IKEv2.

⁷ Marked as a selection per NIAP Technical Decision TD0032 (https://www.niap-ccevs.org/Documents_and_Guidance/view_td.cfm?td_id=34)

5.2.4 Identification and authentication (FIA)

5.2.4.1 Password Management (FIA_PMG_EXT.1)

FIA_PMG_EXT.1.1 The TSF shall provide the following password management capabilities for administrative passwords:

1. Passwords shall be able to be composed of any combination of upper and lower case letters, numbers, and the following special characters: [“!” , “@” , “#” , “\$” , “%” , “^” , “&” , “*” , “(” , “)” , “[” , “]” , “+” , “,” , “-” , “.” , “/” , “:” , “;” , “<” , “=” , “>” , “/” , “\” , “]” , “_” , “^” , “{” , “}” , and “~”];
2. Minimum password length shall be settable by the Security Administrator, and support passwords of 15 characters or greater;

5.2.4.2 Extended: Pre-Shared Key Composition (FIA_PSK_EXT.1)

FIA_PSK_EXT.1.1 The TSF shall be able to use pre-shared keys for IPsec.

FIA_PSK_EXT.1.2 The TSF shall be able to accept text-based pre-shared keys that:

- are 22 characters and *[[lengths from 15 to 128 characters]]*;
- composed of any combination of upper and lower case letters, numbers, and special characters (that include: “!” , “@” , “#” , “\$” , “%” , “^” , “&” , “*” , “(” , and “)”).

FIA_PSK_EXT.1.3 The TSF shall condition the text-based pre-shared keys by using *[[the bit representation of the ASCII coding of the entered characters as the key]]* and be able to *[use no other pre-shared keys]*.

5.2.4.3 Protected Authentication Feedback (FIA_UAU.7)

FIA_UAU.7.1 The TSF shall provide only obscured feedback to the administrative user while the authentication is in progress at the local console.

5.2.4.4 Extended: Password-based Authentication Mechanism (FIA_UAU_EXT.2)

FIA_UAU_EXT.2.1 The TSF shall provide a local password-based authentication mechanism, *[[and access to external RADIUS and TACACS+]]* to perform administrative user authentication.

5.2.4.5 User Identification and Authentication (FIA_UIA_EXT.1)

FIA_UIA_EXT.1.1 The TSF shall allow the following actions prior to requiring the non-TOE entity to initiate the identification and authentication process:

- Display the warning banner in accordance with FTA_TAB.1;
- *[[network routing services]]*.

FIA_UIA_EXT.1.2 The TSF shall require each administrative user to be successfully identified and authenticated before allowing any other TSF-mediated actions on behalf of that administrative user.

5.2.5 Security management (FMT)

5.2.5.1 Management of TSF Data (for general TSF data) (FMT_MTD.1)

FMT_MTD.1.1 The TSF shall restrict the ability to manage the TSF data to the Security Administrators.

5.2.5.2 Specification of Management Functions (FMT_SMF.1)

FMT_SMF.1.1 The TSF shall be capable of performing the following management functions:

- Ability to administer the TOE locally and remotely;
- Ability to update the TOE, and to verify the updates using the [digital signature] capability prior to installing those updates; [
- *Ability to configure the cryptographic functionality*].

5.2.5.3 Restrictions on Security Roles (FMT_SMR.2)

FMT_SMR.2.1 The TSF shall maintain the roles:

- Authorized Administrator.

FMT_SMR.2.2 The TSF shall be able to associate users with roles.

FMT_SMR.2.3 The TSF shall ensure that the conditions

- Authorized Administrator role shall be able to administer the TOE locally;
- Authorized Administrator role shall be able to administer the TOE remotely;

are satisfied.

5.2.6 Protection of the TSF (FPT)

5.2.6.1 Extended: Protection of Administrator Passwords (FPT_APW_EXT.1)

FPT_APW_EXT.1.1 The TSF shall store passwords in non-plaintext form.

FPT_APW_EXT.1.2 The TSF shall prevent the reading of plaintext passwords.

5.2.6.2 Extended: Protection of TSF Data (for reading of all symmetric keys) (FPT_SKP_EXT.1)

FPT_SKP_EXT.1.1 The TSF shall prevent reading of all pre-shared keys, symmetric key, and private keys.

5.2.6.3 Reliable Time Stamps (FPT_STM.1)

FPT_STM.1.1 The TSF shall be able to provide reliable time stamps for its own use.

5.2.6.4 TSF Testing (FPT_TST_EXT.1)

FPT_TST_EXT.1.1 The TSF shall run a suite of self tests during initial start-up (on power on) to demonstrate the correct operation of the TSF.

5.2.6.5 Extended: Trusted Update (FPT_TUD_EXT.1)

FPT_TUD_EXT.1.1 The TSF shall provide security administrators the ability to query the current version of the TOE firmware/software.

FPT_TUD_EXT.1.2 The TSF shall provide security administrators the ability to initiate updates to TOE firmware/software.

FPT_TUD_EXT.1.3 The TSF shall provide a means to verify firmware/software updates to the TOE using a [*digital signature mechanism*] prior to installing those updates.

5.2.7 TOE access (FTA)

5.2.7.1 TSF-initiated Termination (FTA_SSL.3)

FTA_SSL.3.1 Refinement: The TSF shall terminate a remote interactive session after a Security Administrator-configurable time interval of session inactivity.

5.2.7.2 User-initiated Termination (FTA_SSL.4)

FTA_SSL.4.1 The TSF shall allow Administrator-initiated termination of the Administrator's own interactive session.

5.2.7.3 TSF-initiated Session Locking (FTA_SSL_EXT.1)

FTA_SSL_EXT.1.1 The TSF shall, for local interactive sessions, [*terminate the session*] after a Security Administrator-specified time period of inactivity.

5.2.7.4 Default TOE Access Banners (FTA_TAB.1)

FTA_TAB.1.1 Refinement: Before establishing an administrative user session the TSF shall display a Security Administrator-specified advisory notice and consent warning message regarding use of the TOE.

5.2.8 Trusted path/channels (FTP)

5.2.8.1 Trusted Channel (FTP_ITC.1)

FTP_ITC.1.1 Refinement: The TSF shall use [*IPsec*] to provide a trusted communication channel between itself and authorized IT entities supporting the following capabilities: audit server, [*authentication server*] that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from disclosure and detection of modification of the channel data.

FTP_ITC.1.2 The TSF shall permit the TSF, or the authorized IT entities to initiate communication via the trusted channel.

FTP_ITC.1.3 The TSF shall initiate communication via the trusted channel for [**transmitting audit records to an audit server and external authentication functions**].

5.2.8.2 Trusted Path (FTP_TRP.1)

FTP_TRP.1.1 Refinement: The TSF shall use [*SSH*] to provide a trusted communication path between itself and remote administrators that is logically distinct from other communication paths and provides assured identification of its end points and protection of the communicated data from disclosure and detection of modification of the communicated data.

FTP_TRP.1.2 Refinement: The TSF shall permit remote administrators to initiate communication via the trusted path.

FTP_TRP.1.3 The TSF shall require the use of the trusted path for initial administrator authentication and all remote administrative actions.

5.3 TOE Security Assurance Requirements

The security assurance requirements for the TOE are included by reference to the NDPP.

Requirement Class	Requirement Component
ADV: Development	ADV_FSP.1 Basic functional specification
AGD: Guidance documents	AGD_OPE.1: Operational user guidance
	AGD_PRE.1: Preparative procedures
ALC: Life-cycle support	ALC_CMC.1 Labelling of the TOE
	ALC_CMS.1 TOE CM coverage
ATE: Tests	ATE_IND.1 Independent testing - conformance
AVA: Vulnerability assessment	AVA_VAN.1 Vulnerability survey

Table 5 Assurance Components

Consequently, the assurance activities specified in NDPP apply to the TOE evaluation.

6. TOE Summary Specification

This chapter describes the security functions:

- Security audit
- Cryptographic support
- User data protection
- Identification and authentication
- Security management
- Protection of the TSF
- TOE access
- Trusted path/channels

6.1 Security audit

The TOE is designed to be able to generate log records for a wide range of security relevant and other events as they occur. The events that can cause an audit record to be logged include starting and stopping the audit function, any use of an administrator command via the CLI, as well as all of the events identified in Table 4 (which corresponds to the audit events specified in NDPP). Note that the only protocol (that is, SSH, IPsec) failures auditable by the TOE are authentication failures for user-level connections (including both human user and peer connections).

The logged audit records identify the date and time; the nature or type of the triggering event; an indication of whether the event succeeded, failed or had some other outcome; and the identity of the agent responsible for the event (that is, user name for administrator and IP address for network host). The logged audit records also include event-specific content that includes at least all of the content required in Table 4.

The TOE includes an internal log implementation that can be used to store and review audit records locally. The maximum storage space reserved for the local log file can be configured to a range between 1 and 10MB. When the local log storage is full, the TOE will overwrite the oldest records with new records. Only the network-admin user can access to local audit trail. Alternately, the TOE can be configured to send generated audit records to an external Syslog server using IPsec.

Note that audit records are not buffered for transmission to the syslog server. If the connection to the syslog server goes down, generated audit records are not queued and will not be transmitted to the syslog server when the connection is re-established. However, audit records will still be delivered to any other configured audit destinations, such as the log buffer and local log file. Therefore, the administrator is advised to ensure additional audit destinations are configured so that generated audit records will still be available for review in the event of loss of connectivity to the syslog server. In addition, multiple log servers can be configured to provide redundancy.

The Security audit function is designed to satisfy the following security functional requirements:

- FAU_GEN.1: The TOE can generate audit records for events include starting and stopping the audit function, administrator commands, and all other events identified in Table 4. Furthermore, each audit record identifies the date/time, event type, outcome of the event, responsible subject/user, as well as the additional event-specific content indicated in Table 4.
- FAU_GEN.2: The TOE identifies the responsible user for each event based on the specific administrator or network entity (identified by IP address) that caused the event.
- FAU_STG_EXT.1: The TOE can be configured to export audit records to an external Syslog server and can be configured to use IPsec for communication with the Syslog server.

6.2 Cryptographic support

The TOE includes NIST-validated cryptographic algorithms providing supporting cryptographic functions. The following functions have been certified in accordance with the identified standards.

Functions	Standards	Certificates
Asymmetric key generation		
<ul style="list-style-type: none"> • ECC key pair generation(NIST curves P-256, P-384 and P-521) 	NIST Special Publication 800-56A	#834
<ul style="list-style-type: none"> • RSA key generation (key size 2048 bits) 	NIST Special Publication 800-56B	#1969
Encryption/Decryption		
<ul style="list-style-type: none"> • AES CBC, CTR, and GCM (128, 256 bits) 	FIPS PUB 197 NIST SP 800-38A NIST SP 800-38D	Firmware: #3855 Kernel: #3854 HW Accelerators: #3850
Cryptographic signature services		
<ul style="list-style-type: none"> • RSA Digital Signature Algorithm (rDSA) (modulus 2048) • ECDSA (NIST curves P-256, P-384 and P-521) 	FIPS PUB 186-2 FIPS PUB 186-3	Firmware: #1969 (RSA), #834(ECDSA)
Cryptographic hashing		
<ul style="list-style-type: none"> • SHA-1, SHA-256, SHA-384 and SHA-512 (digest sizes 160, 256, 384 and 512 bits) 	FIPS Pub 180-3	Firmware: #3177 Kernel: #3176 HW Accelerators: ##3172
Keyed-hash message authentication		
<ul style="list-style-type: none"> • HMAC-SHA-1 (block size 512 bits, key size 160 bits and digest size 160 bits) • HMAC-SHA-256 (block size 512 bits, key Size 256 bits and digest size 256 bits) • HMAC-SHA-384 (block size 1024 bits, key Size 384 bits and digest size 384 bits) • HMAC-SHA-512 (block size 1024 bits, key Size 512 bits and digest size 512 bits) 	FIPS Pub 198-1 FIPS Pub 180-3	Firmware: #2503 Kernel: #2502 HW Accelerators: #2498
Random bit generation		
<ul style="list-style-type: none"> • CTR_DRBG (AES) with one independent software-based noise source of 256 of non-determinism 	NIST Special Publication 800-90	Firmware: #1094

Table 6 Cryptographic Functions

The TOE implements a random number generator for RSA key establishment schemes, finite field-based key establishment schemes, and for elliptic curve-based key establishment (conformant to NIST SP 800-56B and to NIST SP 800-56A). The following table demonstrates that the TSF complies with SP 800-56B. The table identifies the sections in 800-56B that are implemented by the TSF. While the TOE generally fulfills all of the NIST SP 800-56B requirements without extensions, the following table specifically identifies the “should”, “should not”, and “shall not” conditions from the publication along with an indication of whether the TOE conforms to those conditions with deviations rationalized. Key establishment is among the identified sections.

NIST SP800-56B Section Reference	“should”, “should not”, or “shall not”	Implemented accordingly?	Rationale for deviation
5.6	should	yes	
5.8	shall not	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
5.9	shall not (first occurrence)	yes	
5.9	shall not (second occurrence)	yes	
6.1	should not	yes	
6.1	should (first occurrence)	yes	
6.1	should (second occurrence)	yes	
6.1	should (third occurrence)	yes	
6.1	should (fourth occurrence)	yes	
6.1	shall not (first occurrence)	yes	
6.1	shall not (second occurrence)	yes	
6.2.3	should	yes	
6.5.1	should	yes	
6.5.2	should	yes	
6.5.2.1	should	yes	
6.6	shall not	yes	
7.1.2	should	yes	
7.2.1.3	should	yes	
7.2.1.3	should not	yes	
7.2.2.3	should (first occurrence)	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
7.2.2.3	should (second occurrence)	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
7.2.2.3	should (third occurrence)	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
7.2.2.3	should (fourth occurrence)	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
7.2.2.3	should not	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
7.2.2.3	shall not	no	RSA-OAEP is not supported. The device supports RSA-PKCS1 Padding
7.2.3.3	should (first occurrence)	no	RSA-KEM-KWS is not supported

NIST SP800-56B Section Reference	“should”, “should not”, or “shall not”	Implemented accordingly?	Rationale for deviation
7.2.3.3	should (second occurrence)	no	RSA-KEM-KWS is not supported
7.2.3.3	should (third occurrence)	no	RSA-KEM-KWS is not supported
7.2.3.3	should (fourth occurrence)	no	RSA-KEM-KWS is not supported
7.2.3.3	should (fifth occurrence)	no	RSA-KEM-KWS is not supported
7.2.3.3	should not	no	RSA-KEM-KWS is not supported
8	should	yes	
8.3.2	should not	yes	

Table 7 NIST SP800-56B Conformance

The TOE uses a software-based deterministic random bit generator (DRBG) that complies with NIST SP 800-90, using CTR_DRBG (AES). The entropy source is a 256-bit value derived from the Comware entropy pool. The design architecture of the Comware entropy source is the same as the architecture of the Linux kernel entropy pool. The noise sources for the Comware entropy pool include interrupt, process scheduling, and memory allocation

The TOE is designed to zeroize secret and private cryptographic keys and critical security parameters (CSPs) when they are no longer required by the TOE. Table 8 identifies the applicable secret and private keys and CSPs and summarizes, how and when they are deleted. Note that only some of the keys and CSPs are applicable to the evaluation. Also note that where identified zeroization occurs as follows: 1) when deleted from FLASH, the previous value is overwritten once with zeroes; 2) when added or changed in FLASH, any old value is overwritten completely with the new value; and, 3) the zeroization of values in RAM is achieved by overwriting once with zeroes.

#	Key/ CSP Name	Generation/ Algorithm	Key Size	Description	Storage	Zeroization
Public key management						
CSP1-1	RSA private key	CTR_DRBG (AES)/RSA	2048 bits	Identity certificates for the security appliance itself and also used in IPsec and SSH negotiations.	FLASH (cipher text / AES-CTR 256)	Using CLI command “ public-key local destroy rsa ... ” to zeroize.
CSP1-2	ECDSA private key	CTR_DRBG(AES)/ECDSA	NIST P256, P384, P521	Identity certificates for the security appliance itself and also used in IPsec, SSH and SSL.	FLASH (cipher text /AES-CTR 256)	Using CLI command “ public-key local destroy ecdsa ... ” to zeroize.
CSP1-3	RSA Public keys	RSA	RSA:1024 ~ 2048 bits Note: 192 – bit keys are not used in the evaluated configuration	Public keys of peers to validate the digital signature	FLASH(plain text)	Peer public keys exist in a FLASH start-up configuration file. Using CLI commands “ undo public-key peer ” and “ save ” to zeroize the public keys.
CSP1-4	ECDSA Public keys	ECDSA	NIST P256, P384, P521	Public keys of peers to validate the digital signature	FLASH (plain text)	Peer public keys exist in a FLASH start-up configuration file. Using CLI commands “ undo public-key peer ” and “ save ” to

#	Key/ CSP Name	Generation/ Algorithm	Key Size	Description	Storage	Zeroization
						zeroize the public keys.
IPsec						
CSP2-1	IPsec authentication keys	Generated using IKE protocol (CTR_DRBG (AES)+HMAC-SHA1/HMAC-SHA256/SHA384/SHA512+DH). Algorithms: HMAC-SHA1-96 HMAC-SHA-256-128 HMAC-SHA-384-192 HMAC-SHA-512-256 AES-GMAC	160 bits 256 bits 384 bits 512 bits AES-GMAC: 128, 256 bits Note: GMAC is not used in any of the evaluated mechanisms	Used for authenticating the IPsec traffic	RAM (plain text)	Zeroized upon deleting the IPsec session.
CSP2-2	IPsec encryption keys	Generated using IKE protocol (CTR_DRBG (AES)+HMAC-SHA1/HMAC-SHA256/SHA384/SHA512+DH). Algorithms: AES-CBC, AES-GCM	128 bits 192 bits 256 bits Note: 192 – bit keys are not used in the evaluated configuration	Used for encrypting the IPsec traffic	RAM (plain text)	Zeroized upon deleting the IPsec session.
CSP2-3	IPsec authentication keys	HMAC-SHA1-96 HMAC-SHA-256-128 HMAC-SHA-384-192 HMAC-SHA-512-256	160 bits 256 bits 384 bits 512 bits	Manually configured key used for authenticating the IPsec traffic.	FLASH (cipher text / AES-CTR 256) and RAM (plain text)	Keys will be zeroized using CLI commands “ undo sa hex-key authentication ... ” and “ save ”,
CSP2-4	IPsec encryption keys	AES	128 bits 192 bits 256 bits Note: 192 – bit keys are not used in the evaluated configuration	Manually configured key used for encrypting the IPsec traffic.	FLASH (cipher text / AES-CTR 256) and RAM (plain text)	Keys will be zeroized using CLI commands “ undo sa hex-key encryption ... ” and “ save ”,
IKEv1						
CSP3-1	IKE pre-shared keys	Shared Secret	15 ~ 128 bytes	Entered by the Crypto-Officer in plain text form and used for authentication during IKE	FLASH (cipher text/ AES-CTR 256) and RAM (plain)	Keys will be zeroized using CLI commands “ undo pre-shared-key ... ” and “ save ”,
CSP3-2	IKE RSA Authentication private Key	RSA	RSA: 2048 bits	private key used for IKE protocol during the handshake	RAM(plain text)	Automatically zeroized upon handshake finishing

#	Key/ CSP Name	Generation/ Algorithm	Key Size	Description	Storage	Zeroization
CSP3-3	IKE Diffie-Hellman Key Pairs	CTR_DRBG (AES) / DH	2048 bits	Key agreement for IKE	RAM (plain text)	Automatically zeroized upon handshake finishing
CSP3-4	IKE Integrity key	Generated using IKE (CTR_DRBG (AES)+HMAC-SHA1/HMAC-SHA256/SHA384/SHA512+DH). Algorithms: HMAC-SHA1, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512	160 bits 256 bits	Used for integrity test of IKE negotiations	RAM (plain text)	Zeroized upon deleting the IKE session.
CSP3-5	IKE Encryption Key	Generated using IKE (CTR_DRBG (AES)+HMAC-SHA1/HMAC-SHA256/SHA384/SHA512+DH). Algorithms: AES	128 bits, 192 bits, 256 bits Note: 192 – bit keys are not used in the evaluated configuration	Used for encrypting IKE negotiations	RAM (plain text)	Zeroized upon deleting the IKE session.
IKEv2						
CSP4-1	IKE pre-shared keys	Shared Secret	15 ~ 128 bytes	Entered by the Crypto-Officer in plain text form and used for authentication during IKE	FLASH(cipher text/ AES-CTR 256) and RAM (plain)	Keys will be zeroized using CLI commands " undo pre-shared-key ... " and " save ",
CSP4-2	IKE RSA Authentication private Key	RSA ECDSA	RSA:2048 bits ECDSA:P-256, P-384, P-521	private key used for IKE protocol during the handshake	RAM(plain text)	Automatically zeroized upon handshake finishing
CSP4-3	IKE Diffie-Hellman Key Pairs	CTR_DRBG (AES) / DH,ECDH	DH:2048 bits ECDH:P-256, P-384	Key agreement for IKE	RAM (plain text)	Automatically zeroized upon handshake finishing
CSP4-4	IKE Integrity key	Generated using IKE (CTR_DRBG (AES)+DH/ECDH + HMAC-SHA1/HMAC-SHA256/HMAC-SHA384). Algorithms: HMAC-SHA1, HMAC-SHA256-128, HMAC-SHA384-192	160 bits 256 bits 384 bits	Used for integrity test of IKE negotiations	RAM (plain text)	Zeroized upon deleting the IKE session.

#	Key/ CSP Name	Generation/ Algorithm	Key Size	Description	Storage	Zeroization
CSP4-5	IKE Encryption Key	Generated using IKE (CTR_DRBG (AES)+DH/ECDH + HMAC-SHA1/HMAC-SHA256/HMAC-SHA384). Algorithms: AES	128 bits, 192 bits, 256 bits Note: 192 – bit keys are not used in the evaluated configuration	Used for encrypting IKE negotiations	RAM (plain text)	Zeroized upon deleting the IKE session.
SSH						
CSP5-1	SSH Private key	RSA ECDSA	RSA:2048 bits ECDSA: P-256, P-384	private key used for SSH protocol during handshake	RAM(plain text)	Automatically zeroized upon finishing handshake.
CSP5-2	SSH Diffie-Hellman Key Pairs	CTR_DRBG (AES) / DH/ECDH	DH: 2048 bits ECDH: P-256, P-384	Key agreement for SSH sessions.	RAM (plain text)	Automatically zeroized upon finishing handshake.
CSP5-3	SSH Session encryption key	Generated using the SSH protocol(CTR_D RBG(AES)+SHA 1+DH) Algorithms: AES-CBC, AES-GCM	128 bits, 256 bits	Key used for encrypting SSH session.	RAM (plain text)	Automatically zeroized when SSH session terminated.
CSP5-4	SSH Session authentication key	Generated using the SSH protocol(CTR_D RBG(AES)+SHA 1+DH) Algorithms: HMAC-SHA1, HMAC-SHA1-96, hmac-sha256, hmac-sha512 AES-GCM	SHA1: 160 bits AES-GCM: 128 bits, 256 bits	Key used for authenticating SSH session.	RAM (plain text)	Automatically zeroized when SSH session terminated.
AAA						
CSP6-1	User Passwords	Secret	15 ~ 63 bytes	Critical security parameters used to authenticate the administrator login.	FLASH (hashed text/SHA-512) and RAM (plain)	Use CLI command "password" to set new password, or use CLI command "undo local-user ..." to zeroize the password and delete user account.
CSP6-2	Super password	Secret	15 ~ 63 bytes	Critical security parameters used to authenticate privilege promoting.	FLASH (hashed text/SHA-512) and RAM (plain)	Use CLI command "undo super password" to zeroize the super password.

#	Key/ CSP Name	Generation/ Algorithm	Key Size	Description	Storage	Zeroization
CSP6-3	RADIUS shared secret keys	Shared Secret	15 ~ 64 bytes	Used for authenticating the RADIUS server to the security appliance and vice versa. Entered by the Security administrator in plain text form and stored in cipher text form.	FLASH (cipher text/ AES-CTR 256) and RAM (plain)	Keys will be zeroized using following commands: "undo primary authentication", "undo primary accounting", "undo secondary authentication", "undo secondary accounting".
CSP6-4	TACACS+ shared secret keys	Shared Secret	15~255 bytes	Used for authenticating the TACACS+ server to the security appliance and vice versa. Entered by the Security administrator in plain text form and stored in cipher text form.	FLASH (cipher text/ AES-CTR 256) and RAM (plain)	Keys will be zeroized using following commands: "undo primary authentication", "undo primary accounting", "undo primary authorization", "undo secondary authentication", "undo secondary accounting", "undo secondary authorization".
Random Bits Generation						
CSP7-1	DRBG seed	Entropy / SP 800 - 90 CTR_DRBG	256 bits	Input to the DRBG that determines the internal state of the DRBG	RAM (plaintext)	Automatically zeroized when DRBG initialized
CSP7-2	DRBG V	SP 800 - 90 CTR_DRBG	128 bits	Generated by entropy source via the CTR_DRBG derivation function	RAM (plaintext)	Resetting or rebooting the security appliance
CSP7-3	DRBG Key	SP 800 - 90 CTR_DRBG	256 bits	Generated by entropy source via the CTR_DRBG derivation function	RAM (plaintext)	Resetting or rebooting the security appliance

Table 8 Key/CSP Zeroization Summary

These supporting cryptographic functions are included to support the IPsec, IKE, and SSHv2 (RFCs 4251, 4252, 4253, 4254, and 5656) secure communication protocols. The TOE implements SHA-1, SHA-256, SHA-384 and SHA-512 (digest sizes 160, 256, 384 and 512 bits) in accordance with FIPS Pub 180-3 for cryptographic hashing.

The TOE supports SSHv2 with AES (CBC, GCM) 128 or 256 bit ciphers, in conjunction with HMAC-SHA-1, HMAC-SHA-1-96, hmac-sha2-256, or hmac-sha2-512. The TOE supports public key algorithms RSA, ecdsa-sha2-nistp256, and ecdsa-sha2-nistp384. It supports diffie-hellman-group14-sha1, ecdh-sha2-nistp256 and with ecdh-sha2-nistp384 key exchange methods. While DES and 3DES (CBC), HMAC-MD5 and HMAC-MD5-96, as well as diffie-hellman-group-1 and diffie-hellman-exchange are all implemented, they are disabled while the TOE is operating in CC/FIPS mode.

SSHv2 connections are rekeyed prior to reaching 2^{28} packets. The authentication timeout period is 90 seconds allowing clients to retry only 3 times. Both public-key and password based authentication can be configured. Packets are limited to 256K bytes. Note that the TOE manages a packet counter for each SSH session so that it can initiate a new key exchange when the 2^{28} packet limit is reached. Whenever the timeout period or authentication retry limit is reached, the TOE closes the applicable TCP connection and releases the SSH session resources. As SSH packets are being received, the TOE uses a buffer to build all packet information. Once complete, the packet is checked to ensure it can be appropriately decrypted. However, if it is not complete when the buffer becomes full (256K bytes) the packet will be dropped.

The TOE includes an implementation of IPsec in accordance with RFC 4301. The TOE's implementation of IPsec supports both tunnel and transport modes. Furthermore, ESP mode "confidentiality only" is disabled by default. The TOE implements the Encapsulating Security Payload (ESP) IPsec protocol, as defined by RFC 4303, supporting AES-CBC-128, AES-CBC-256 (both specified by RFC 3602) with HMAC-SHA1 and HMAC-SHA-256; and AES-GCM-128, and AES-GCM-256 (both specified by 4106). The TOE implements both versions 1 and 2 of Internet Key Exchange (IKEv1, IKEv2).

IKEv1 is implemented as defined in RFCs 2407, 2408, 2409, and 4109, and 4868 and supports use of AES-CBC-128 and AES-CBC-256 to encrypt IKEv1 payloads. Note that the TOE supports both main and aggressive modes, though aggressive mode is disabled in CC/FIPS mode. Furthermore, "confidentiality only" ESP mode is disabled by default. HMAC SHA-1 (key size 160), HMAC SHA-256 (key size 256 bits), HMAC SHA-384 (key size 384 bits) and HMAC-512 (key size 512 bits) are used in support of the IPsec protocol ESP (FCS_IPSEC_EXT.1.4). IKE authentication keys are generated using the HMAC algorithms. The keys are used for authenticating IKE negotiations and IPsec traffic authentications and subsequent traffic encryption. HMAC SHA for IPsec key authentication and encryption can be generated by using IKE commands.

The TOE's implementation of IKEv2 conforms with RFCs 5996 (with mandatory support for NAT traversal as specified in section 2.23), 4307, and 4868 for hash functions. IKEv2 supports the same algorithms as for IKEv1 described above. Table 8 sections IPsec and IKEv2 identify HMAC support for key generation, authentication, and integrity. The TOE supports the 128-bit and 256-bit AES for both IKE_SA and CHILD_SAs.

The TOE provides mechanisms to implement an IPsec Security Policy Database (SPD) and to process packets to satisfy the behavior of DISCARD, BYPASS and PROTECT packet processing as described in RFC 4301. This is achieved through the administrator configuring appropriately specified access control lists (ACLs). The administrator first establishes an IPsec Policy containing a Security ACL to match traffic to be encrypted (PROTECTed) and applies it to the outbound interface. The Security ACL contains one or more rules, which are ordered based on a numeric index from lowest to highest. The TOE compares packets in turn against each rule in the Security ACL to determine if the packet matches the rule. Packets can be matched based on protocol (for example, TCP, UDP), source IP address and destination IP address. As soon as a match is found, the packet is handled based on the action specified in the rule—either **permit**, which equates to PROTECT, or **deny**, which equates to BYPASS. Traffic matching a **deny** rule or not matching any rule in the Security ACL is passed on to the next stage of processing. Note that multiple IPsec Policies can be assigned to an interface as a policy group. In this case, each policy in the group has its own priority number that is unique within the policy group. Each policy is considered in turn, starting at the lowest number policy (which has highest priority) and proceeding in turn with increasing policy numbers until a match is found or until all policies have been examined. To cater for packets that match a **deny** rule or do not match any of the IPsec Policies, the administrator needs to configure further ACLs and bind them to the outbound interface using the `packet-filter` command. These ACLs specify permit/deny rules to implement BYPASS/DISCARD behavior. As with the Security ACL, the TOE compares packets against rules in the packet filtering ACL based on protocol, source IP address and destination IP address. The rules in the packet filtering ACL can be ordered in the same fashion as in a Security ACL. In the packet filtering ACL, a **permit** rule equates to BYPASS, and a **deny** rule equates to DISCARD. By default, the packet filter permits packets that do not match any ACL rule to permit. In the evaluated configuration, an administrator changes this action to deny.

IKEv1 and IKEv2 SA lifetime and volume limits can be configured by an authorized administrator. IKEv1 SA lifetimes can be limited to 24 hours (any value between 60 and 604,800 seconds) for phase 1 and 8 hours any value from 180 to 604,800 seconds) for phase 2 and also to as little as 2.5 MB (any value between 2,560 and 4,294,967,295 KB) of traffic for phase 2. IKEv2_SA lifetime can be limited to 24 hours (any value between 120 and 86,400 seconds). CHILD_SA lifetimes can be limited to 8 hours (any value from 180 to 604,800 seconds). Volume can be limited to as little as 2.5 MB (any value between 2,560 and 4,294,967,295 KB).

The IKEv1 protocols implemented by the TOE include DH Groups 2 (1024-bit MODP), 5 (1536-bit MODP), 14 (2048-bit MODP), and 24 (2048-bit MODP with 256-bit POS) and use RSA (aka rDSA) peer authentication. However, when the TOE is operating in FIPS mode, only DH Groups 14 and 24 are supported. In the IKEv1 phase 1 and phase 2 exchanges, the TOE and peer will agree on the best DH group both can support. When the TOE initiates IKE negotiation, the DH group is sent in order according to the peer's configuration. When the TOE receives an IKE proposal, it will select the first match and the negotiation will fail if there is no match. During IKEv1 phase 1 authentication is based on a verifiable signature as described in RFC2409. The IKEv2 protocol implemented by the TOE includes DH 14 (2048-bit MODP), 24 (2048-bit MODP with 256-bit POS), 19 (256-bit Random ECP), and 20 (384-bit Random ECP) using RSA and ECDSA peer authentication. In the IKE_SA_INIT and CREATE_CHILD_SA exchanges, the TOE and peer will agree on the best DH group both can support. When the TOE initiates IKE negotiation, the DH group is sent in order according to the peer's configuration. When the TOE receives an IKE proposal, it will select the first match and the negotiation will fail if there is no match.

The TOE can be configured to use pre-shared keys with a given peer. When a pre-shared key is configured, IKE peer authentication will be performed using the configured pre-shared key, provided that the peer also has the pre-shared key. Text-based pre-shared keys used for IKE can be constructed of essentially any alphabetic character (upper and lower case), numerals, and special characters (for example, “!”, “@”, “#”, “\$”, “%”, “^”, “&”, “*”, “(”, and “)”) and can be anywhere from 15 to 128 characters in length (including, for example, 22 characters). In this case, the TOE uses the bit representation of the underlying ASCII characters of the text-based pre-shared key as the key for IKE peer authentication. The TOE requires suitable keys to be entered by an authorized administrator.

The Cryptographic support function is designed to satisfy the following security functional requirements:

- FCS_CKM.1: See table above.
- FCS_CKM_EXT.4: See table above.
- FCS_COP.1(1): See table above.
- FCS_COP.1(2): See table above.
- FCS_COP.1(3): See table above.
- FCS_COP.1(4): See table above.
- FCS_IPSEC_EXT.1: The TOE supports IPsec cryptographic network communication protection.
- FCS_RBG_EXT.1: See table above.
- FCS_SSH_EXT.1: The TOE supports SSHv2 interactive command-line secure administrator sessions as indicated above.
- FIA_PSK_EXT.1: The TOE supports pre-shared keys for IPsec peer authentication.

6.3 User data protection

The TOE is designed to ensure its own internal integrity as well as to protect user data from potential, unintended reuse by clearing resources (for example, memory) as they are allocated to create objects used in the implementation of the TOE operations. Note that volatile memory is the primary resource involved in normal TOE execution while its persistent storage is based on non-volatile flash memory.

When a network packet is sent, the buffer used by the packet is recalled and managed by the buffer pool. After that, if a new packet acquires a buffer from the buffer pool, the new packet data will be used to overwrite any previous data in the buffer. If an allocated buffer exceeds the size of the packet, the additional space will be overwritten (padded) with zeros.

The User data protection function is designed to satisfy the following security functional requirements:

- FDP_RIP.2: The TOE always overwrites resources when allocated for use in objects.

6.4 Identification and authentication

The TOE is designed to require users to be identified and authenticated before they can access any of the TOE functions. Note that the normal routing of network traffic is not considered accessing TOE functions in this regard.

In the evaluated configuration, users can connect to the TOE CLI via a local console or remotely using SSHv2. For each session, the user is required to log in prior to successfully establishing a session through which TOE functions can be exercised. CLI and SSHv2 both support password authentication as described below. SSHv2 also supports public key authentication methods as identified in section 6.2 above. Note that the only capabilities allowed prior to users authenticating are the display of the warning banner before authentication, and network routing services.

In order to log in using password authentication, the user must provide an identity along with authentication data corresponding to the provided identity. Users can be defined locally within the TOE with a user identity, password, and user role. Alternately, users can be defined within an external RADIUS or TACACS+ server configured to be used by the TOE, each of which also defines the user's role in the TOE. Locally defined users are authenticated directly by the TOE, while remotely defined users are authenticated by the external server and the result is enforced by the TOE. In either case, any resulting session is dependent upon successful authentication and established sessions are associated with the privilege level/role (see section 6.5) assigned to the user.

When logging in the TOE will not echo passwords so that passwords are not inadvertently displayed to the user and any other users that might be able to view the login display.

Note also that should a console user have their session terminated (for example, due to inactivity), they are required to successfully authenticate, by reentering their identity and authentication data, in order to establish a new session.

Passwords can be composed of upper and lower case letters, numbers and special characters, including blank space and ~!@#%&*()_+={ }|[]\:'>,<./,. Also, new passwords have to satisfy a configurable minimum password length. The administrator can specify a minimum password length of 15 to 32 characters.

For IPsec, the TOE supports peer authentication with RSA, ECDSA, and pre-shared keys. The TOE can use either bit-based or text-based pre-shared keys.

The Identification and authentication function is designed to satisfy the following security functional requirements:

- FIA_PMG_EXT.1: The TOE implements a set of password composition constraints as described above.
- FIA_UAU.7: The TOE does not echo passwords as they are entered.
- FIA_UAU_EXT.2: The TOE can be configured to utilize external RADIUS and TACACS+ authentication servers.
- FIA_UIA_EXT.1: The TOE only displays the warning banner and allows for network routing services prior to a user being identified and authenticated.

6.5 Security management

The TOE controls user access to commands and resources based on user role. Users are given permission to access a set of commands and resources based on their user role.

The TOE includes pre-defined user roles, of which only the user roles network-admin and level-15 admin roles, are considered instances of the 'Security Administrator' as defined in the NDPP. These Security Administrator roles are capable of managing the security functions of the TOE since they allow for security relevant configuration management capabilities. These capabilities include changing the user permission settings including user-role, authentication-mode, protocol, and setting the authentication password in user interface view.

The other roles represent logical subsets of those security management roles, but do not offer any security relevant configuration management capabilities. The other roles are limited to the ability to change a user's own password, non-security relevant functions and review of information.

The TOE offers a CLI providing a range of security management functions for use by an authorized administrator. Among these functions are those necessary to manage all aspects of the cryptographic functions of the TOE, those necessary to enable or disable the network services offered by the TOE, and the functions necessary to review the TOE versions, update the TOE components, and also to verify the validity of those updates.

The Security management function is designed to satisfy the following security functional requirements:

- FMT_MTD.1: The TOE restricts the access to manage TSF data that can affect the security functions of the TOE to Security Administrators.
- FMT_SMF.1: The TOE includes the functions necessary to enable/disable available network services, to manage the cryptomodule and associated functions, and to manage and verify updates of the TOE software and firmware.
- FMT_SMR.2: The TOE includes pre-define roles network-admin and level-15 admin that correspond to the required 'Security Administrator'.

6.6 Protection of the TSF

The TOE is an appliance and as such is designed to work independent of other components to a large extent. Secure communication with third-party peers is addressed in section 6.8.

While the administrative interface is function rich, the TOE is designed specifically to provide access only to locally-stored, hashed (and not plain text) passwords. In the evaluated configuration (that is, with FIPS mode enabled), the TOE protects user passwords by saving a SHA-512 hash of the password. Also, while cryptographic keys can be entered, the TOE does not disclose any keys stored in the TOE. See Table 8 Key/CSP Zeroization Summary for more information about stored keys and passwords. Note that while some keys and passwords occur in plain text in RAM, that is only while they are in use and are not accessible by any user from RAM.

The TOE is a hardware appliance that includes a hardware-based real-time clock (except for MSR 1000 Series)). The real-time clock is a battery-powered clock that is included in a microchip in a computer motherboard. When a device starts up, the device gets the time from the real-time clock and synchronizes it to the CPU. When the device is powered off, the real-time clock still keeps track of the current time. Since the MSR 1000 Series does not have a real-time clock, the TOE maintains time by adding CPU ticks to the default time of the system. The TOE's embedded OS manages the clock and exposes administrator clock-related functions. The clock is used for audit record time stamps, measuring session activity for termination, and for cryptographic operations based on time/date.

The TOE includes a number of built in diagnostic tests that are run during start-up to determine whether the TOE is operating properly. An administrator can configure the TOE to reboot or to stop, with errors displayed, when an error is encountered. The built-in self tests include basic read-write memory (that is, each memory location is written with a non-zero value and read to ensure it is stored as expected), flash read, software checksum tests, and device detection tests.

The TOE is designed to support upgrades to the boot ROM program and system boot file as well as to support software hotfixes. In MSR4000, the upgrade process upgrades both service processing units and main processing units. There is not a separate upgrade for service processing units. The TOE provides interfaces so that an administrator can query the current boot ROM program or system boot file versions as well as to identify any installed patches. The Basic BootROM and extended BootROM menus provide access to the BootROM program. Basic BootROM can be accessed by pressing CTRL+D while the device is booting. Note that this can only be performed while accessing the network device through the Serial Console. Extended BootROM menu can be accessed by pressing CTRL+B while the device is booting. Both the boot ROM program and system boot file can be upgraded via the Boot ROM menu or the command line interface, but a reboot is required in each case. Hotfixes, which can affect only the system boot file, can be installed via the command line interface and do not require a reboot to become effective.

The TOE includes a validity checking function that can be enabled when upgrading the boot ROM program, while system boot files and software patches are always validated prior to installation. In each case, the upgrade version will be checked to ensure it is appropriate and the upgrade file will be verified using an embedded (Hewlett Packard Enterprise authorized) digital signature verified against a configured pair of hard-coded keys embedded in the TOE. If the version is incorrect or the signature cannot be verified, the upgrade will not proceed to protect the integrity of

the TOE. More specifically, each update includes a header and data. The header includes a SHA-256 secure hash of the data that is signed (using rDSA/RSA 2048) by Hewlett Packard Enterprise. In order to verify the data, the TOE generates its own SHA-256 secure hash of the update data, compares it with the signed hash in the update header to ensure they match, and verifies the hash signature using its configured public key.

The Protection of the TSF function is designed to satisfy the following security functional requirements:

- **FPT_APW_EXT.1:** The TOE does not offer any functions that will disclose to any user a plain text password. Note that passwords are stored in hashed form within the TOE FLASH.
- **FPT_SKP_EXT.1:** The TOE does not offer any functions that will disclose to any users a stored cryptographic key.
- **FPT_STM.1:** The TOE includes its own hardware clock (except for MSR 1000 Series, which uses CPU ticks to maintain time).
- **FPT_TST_EXT.1:** The TOE includes a number of power-on diagnostics that will serve to ensure the TOE is functioning properly. The tests include ensure memory and flash can be accessed as expected, to ensure that software checksums are correct, and also to test the presence and function of plugged devices.
- **FPT_TUD_EXT.1:** The TOE provides functions to query and upgrade the versions of the boot ROM program and system boot file (including installing hotfixes). Digital signatures are used to ensure the integrity of each upgrade prior to performing the upgrade; this checking is optional for the boot ROM program since special circumstances might require those checks to be disabled.

6.7 TOE access

The TOE can be configured to display an informative banner that will appear prior to authentication when accessing the TOE via the console or SSH interfaces. The TOE subsequently will enforce an administrator-defined inactivity timeout value after which the inactive session will be terminated. The banner will be displayed when accessing the TOE via the console or SSH interfaces.

The TOE can be configured by an administrator to set an interactive session timeout value (any integer value in minutes and also optionally in seconds, with 0 disabling the timeout) – the default timeout is 10 minutes. A remote session that is inactive (that is, no commands issuing from the remote client) for the defined timeout value will be terminated. A local session that is similarly inactive for the defined timeout period will be terminated. The user will be required to re-enter their user id and their password so they can establish a new session once a session is terminated. If the user id and password match those of the user that was locked, the session is reconnected with the console and normal input/output can again occur for that user.

An authorized administrator can set an inactivity time limit for IPsec SA. Time limits can be set globally or per IPsec policy (`ipsec sa idle-time` and `sa idle-time`, respectively).

The TOE access function is designed to satisfy the following security functional requirements:

- **FTA_SSL.3:** The TOE terminates remote sessions that have been inactive for an administrator-configured period of time.
- **FTA_SSL.4:** The TOE provides the function to logout (or terminate) both local and remote user sessions as directed by the user.
- **FTA_SSL_EXT.1:** The TOE terminates local sessions that have been inactive for an administrator-configured period of time.
- **FTA_TAB.1:** The TOE can be configured to display administrator-defined advisory banner before establishing an administrative user session.

6.8 Trusted path/channels

The TOE can be configured to export audit records to an external Syslog server. The TOE uses IPsec to protect communications between itself and components in the operational environment including Syslog and authentication servers (RADIUS and TACACS+).

To support secure remote administration, the TOE includes an implementation of SSHv2. An administrator with an appropriate SSHv2-capable client can establish secure remote connections with the TOE. The TOE supports both public key-based and password-based client authentication for the SSH trusted path. To successfully establish an interactive administrative session, the administrator must be able to provide acceptable user credentials (for example, user id and password), after which they will be able to issue commands within their assigned authorizations.

All of the secure protocols are supported by NIST-validated cryptographic mechanisms included in the TOE implementation.

The Trusted path/channels function is designed to satisfy the following security functional requirements:

- FTP_ITC.1: The TOE can be configured to ensure that any authentication operations and exported audit records are sent only to the configured servers via IPsec communications channels so they are not subject to inappropriate disclosure or modification.
- FTP_TRP.1: The TOE provides SSH to support secure remote administration.. Administrators can initiate a remote session that is secured (from disclosure and modification) using NIST-validated cryptographic operations, and all remote security management functions require the use of this secure channel.

7. Protection Profile Claims

This ST is conformant to the *Protection Profile for Network Devices*, Version 1.1, 8 June 2012 (NDPP), with the optional SSH, IPsec and pre-shared key requirements as amended by Errata #3.

The TOE includes Ethernet router devices. As such, the TOE is a network device making the NDPP claim valid and applicable.

As explained in section 3, Security Problem Definition, the Security Problem Definition of the NDPP has been included by reference into this ST.

As explained in section 4, Security Objectives, the Security Objectives of the NDPP have been included by reference into this ST.

The following table identifies all the Security Functional Requirements (SFRs) in this ST. Each SFR is reproduced from the NDPP and operations completed as appropriate.

Requirement Class	Requirement Component	Source
FAU: Security audit	FAU_GEN.1: Audit Data Generation	NDPP
	FAU_GEN.2: User identity association	NDPP
	FAU_STG_EXT.1: External Audit Trail Storage	NDPP
FCS: Cryptographic support	FCS_CKM.1: Cryptographic Key Generation (for asymmetric keys)	NDPP
	FCS_CKM_EXT.4: Cryptographic Key Zeroization	NDPP
	FCS_COP.1(1): Cryptographic Operation (for data encryption/decryption)	NDPP
	FCS_COP.1(2): Cryptographic Operation (for cryptographic signature)	NDPP
	FCS_COP.1(3): Cryptographic Operation (for cryptographic hashing)	NDPP
	FCS_COP.1(4): Cryptographic Operation (for keyed-hash message authentication)	NDPP
	FCS_IPSEC_EXT.1: Explicit: IPSEC	NDPP
	FCS_RBG_EXT.1: Extended: Cryptographic Operation (Random Bit Generation)	NDPP
	FCS_SSH_EXT.1: Explicit: SSH	NDPP
FDP: User data protection	FDP_RIP.2: Full Residual Information Protection	NDPP
FIA: Identification and authentication	FIA_PMG_EXT.1: Password Management	NDPP
	FIA_PSK_EXT.1: Extended: Pre-Shared Key Composition	NDPP
	FIA_UAU.7: Protected Authentication Feedback	NDPP
	FIA_UAU_EXT.2: Extended: Password-based Authentication Mechanism	NDPP
	FIA_UIA_EXT.1: User Identification and Authentication	NDPP
FMT: Security management	FMT_MTD.1: Management of TSF Data (for general TSF data)	NDPP
	FMT_SMF.1: Specification of Management Functions	NDPP
	FMT_SMR.2: Restrictions on Security Roles	NDPP
FPT: Protection of the TSF	FPT_APW_EXT.1: Extended: Protection of Administrator Passwords	NDPP
	FPT_SKP_EXT.1: Extended: Protection of TSF Data (for reading of all symmetric keys)	NDPP
	FPT_STM.1: Reliable Time Stamps	NDPP
	FPT_TST_EXT.1: TSF Testing	NDPP
	FPT_TUD_EXT.1: Extended: Trusted Update	NDPP
FTA: TOE access	FTA_SSL.3: TSF-initiated Termination	NDPP
	FTA_SSL.4: User-initiated Termination	NDPP
	FTA_SSL_EXT.1: TSF-initiated Session Locking	NDPP
	FTA_TAB.1: Default TOE Access Banners	NDPP
FTP: Trusted path/channels	FTP_ITC.1: Trusted Channel	NDPP
	FTP_TRP.1: Trusted Path	NDPP

Table 9 SFR Protection Profile Sources

8. Rationale

This security target includes by reference the NDPP Security Problem Definition, Security Objectives, and Security Assurance Requirements. The security target makes no additions to the NDPP assumptions. NDPP security functional requirements have been reproduced with the protection profile operations completed. Operations on the security requirements follow NDPP application notes and assurance activities. Consequently, NDPP rationale applies but is incomplete. The TOE Summary Specification rationale below serves to complete the rationale required for the security target.

8.1 TOE Summary Specification Rationale

Each subsection in Section 6, the TOE Summary Specification, describes a security function of the TOE. Each description is followed with rationale that indicates which requirements are satisfied by aspects of the corresponding security function. The set of security functions work together to satisfy all of the security functions and assurance requirements. Furthermore, all of the security functions are necessary in order for the TSF to provide the required security functionality.

This Section in conjunction with Section 6, the TOE Summary Specification, provides evidence that the security functions are suitable to meet the TOE security requirements. The collection of security functions work together to provide all of the security requirements. The security functions described in the TOE summary specification are all necessary for the required security functionality in the TSF. Table 10 Security Functions vs. Requirements Mapping demonstrates the relationship between security requirements and security functions.

	Security audit	Cryptographic support	User data protection	Identification and authentication	Security management	Protection of the TSF	TOE access	Trusted path/channels
FAU_GEN.1	X							
FAU_GEN.2	X							
FAU_STG_EXT.1	X							
FCS_CKM.1		X						
FCS_CKM_EXT.4		X						
FCS_COP.1(1)		X						
FCS_COP.1(2)		X						
FCS_COP.1(3)		X						
FCS_COP.1(4)		X						
FCS_IPSEC_EXT.1		X						
FCS_RBG_EXT.1		X						
FCS_SSH_EXT.1		X						
FDP_RIP.2			X					
FIA_PMG_EXT.1				X				
FIA_PSK_EXT.1				X				
FIA_UAU.7				X				
FIA_UAU_EXT.2				X				
FIA_UIA_EXT.1				X				
FMT_MTD.1					X			
FMT_SMF.1					X			
FMT_SMR.2					X			
FPT_APW_EXT.1						X		
FPT_SKP_EXT.1						X		
FPT_STM.1						X		
FPT_TST_EXT.1						X		
FPT_TUD_EXT.1						X		
FTA_SSL.3							X	
FTA_SSL.4							X	
FTA_SSL_EXT.1							X	
FTA_TAB.1							X	
FTP_ITC.1								X
FTP_TRP.1								X

Table 10 Security Functions vs. Requirements Mapping

Appendix A: Documentation for Hewlett Packard Enterprise MSR1000, MSR2000, MSR3000, and MSR4000 Routers

The following documents for the MSR Router series can be found under the General Reference section of the Router Series documentation page for each model on the Hewlett Packard Enterprise Web site. The links are provided below.

- HP MSR Router Series Security Command Reference (V7), 2015
- HP MSR Router Series Fundamentals Command Reference (V7), 2015
- HP MSR Router Series Network Management and Monitoring Command Reference (V7), 2015

- HP MSR Router Series ACL and QoS Command Reference (V7), 2015
- HP MSR Router Series Layer 3 - IP Services Command Reference (V7), 2015

The following documents for the MSR Router series can be found under the *Setup and Install* section of the Router Series documentation page on the Hewlett Packard Enterprise Web site. The links are provided below.

- HP MSR Router Series Security Configuration Guide (V7), 2015
- HP MSR Router Series Fundamentals Configuration Guide (V7), 2015
- HP MSR Router Series Network Management and Monitoring Configuration Guide (V7), 2015
- HP MSR Router Series ACL and QoS Configuration Guide (V7), 2015
- HP MSR Router Series Layer 3 - IP Services Configuration Guide (V7), 2015

MSR1000

<http://h20566.www2.hp.com/portal/site/hpsc/public/psi/home/?sp4ts.oid=6796027&ac.admitted=1453386164725.125225703.1938120508#manuals>

MSR2000

<http://h20565.www2.hp.com/portal/site/hpsc/public/psi/home/?sp4ts.oid=5408894&ac.admitted=1453386765488.125225703.1851288163#manuals>

MSR3000

<http://h20565.www2.hp.com/portal/site/hpsc/public/psi/home/?sp4ts.oid=5408895&ac.admitted=1453387059829.125225703.1851288163#manuals>

MSR4000

<http://h20566.www2.hp.com/portal/site/hpsc/public/psi/home/?sp4ts.oid=5408896&ac.admitted=1453822860455.125225703.1938120508#manuals>
